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Office of the Mayor
Gavin Buckley, Mayor
160 Duke of Gloucester Street
Annapolis, MD 21401-2517

March 8, 2022

To: Alderpersons, City of Annapolis

From: Mayor Gavin Buckley

Re: Alcoholic Beverage Control Board Appointment

Pending your approval, I would like to appoint Mr. Christopher Ledoux to the Alcoholic Beverage Control Board. Mr. Ledoux is a resident of Ward 8 and this appointment fills a vacancy on the commission.

Chris Ledoux
215 Severn Avenue
Annapolis MD 21403

A copy of his resume is attached.

Thank You.

GB/hrr

Reviewed by: <u>Economic Matters Committee</u>	
<input type="checkbox"/> Favorable	<input type="checkbox"/> Unfavorable
_____	_____
Committee Chair	Date

C. Christopher Ledoux

215 Severn Avenue, Annapolis, Maryland 21403-2507
410-507-1267 (mobile)
eastportchris@gmail.com

RELEVANT EMPLOYMENT HISTORY

Federal Deposit Insurance Corporation, Office of the General Counsel, Washington, D.C.
(April 2016 to present).

As a **Assistant General Counsel for Financial Innovation and Financial Stability**, reporting directly to the General Counsel, leads the **Financial Technology and Innovation Group (FTIG)** and collectively and independently provides legal counsel to the FDIC Chairman, members of the Board of Directors, Deputies to the Chairman, Senior Advisors and Division Directors, and FDIC staff. FTIG is made up of two teams of attorneys:

The **Bank Secrecy Act/Anti-Money Laundering Team** works with the Division of Risk Management Supervision (RMS) to develop and implement policies, procedures, and practices to assist in combatting money laundering, illicit finance, and cybercrime affecting financial institutions.

The **Financial Innovation Team** works with RMS, the Division of Depositor and Consumer Protection (DCP) and the FDIC's office of innovation (FDIC Tech Lab) with respect to emerging and novel legal issues arising from new digital and other forms of technology that are or have the potential to rapidly transform aspects of the traditional banking business model; challenge application of contemporary law, regulation and supervision; and introduce new considerations in resolving failed banks and complex institutions. Co-chairs an interdivisional working group – the FDIC Emerging Technology Wholesale Working Group.

In addition to these duties:

Financial Stability. Manages and coordinates the Legal Division's support to the Chairman as a voting member of the Financial Stability Oversight Council (FSOC). Supports FDIC's Deputies and attends the FSOC's Deputies Committee and serves as an FDIC representative to a number of committees, including the Systemic Risk Committee and FSOC's Legal Group. Advises on all legal matters and issues of concern to FSOC, market monitoring, the FSOC Annual Report and systemic risk issues related to digital assets, virtual currency, and other matters.

Awarded (2016) the U.S. Department of Treasury's *Exceptional Service Award* and silver medal, the highest merit award that can be given to a career professional.

Financial Stability Oversight Council, Office of the Independent Member, Washington, D.C.
(January 2012 to April 2016).

Served as Deputy and legal counsel to the FSOC's independent member. Supported the Independent Member in performing the duties, exercising the authorities, and fulfilling his statutory obligations under the Dodd-Frank Act Wall Street Reform and Consumer Protection Act.

Advised the Independent Member on emerging issues involving financial institutions, financial markets and macro-economic trends affecting financial stability and analyzed the economic, financial, industry and policy implications of matters before the FSOC. These responsibilities included monitoring risks to financial stability, and making binding decisions regarding the oversight of non-bank financial firms, financial market utilities, and payment, clearing and settlement activities.

Served on the FSOC's Deputies Committee, Nonbank Designations Committee, Systemic Risk Committee, and the

FSOC's Legal Group. Represented the Independent Member during meetings with FSOC staff, FSOC member agencies, other Federal or State officials and agencies, Members of Congress and Congressional staff, stakeholders and members of the public.

United States Senate, Committee on Banking, Housing & Urban Affairs, Washington, D.C.
(November 2017 to present).

Detailed by the U.S. Department of Treasury in 2011 and 2012, and by the FDIC in 2017 to the U.S. Senate Committee on Banking and Urban Affairs, advising Committee staff and contributing subject matter expertise to the passage of Federal legislation.

U.S. Department of the Treasury, Washington, D.C.

Served in various positions while at this Cabinet-level agency, including serving as Treasury representative to several White House interagency initiatives and at times staff lead to the President's Working Group on Financial Markets (PWG):

Federal Insurance Office (July 2010 to June 2011). Assigned to set up a new statutorily established office within Treasury, pursuant to Title V of the Dodd-Frank Act. Responsibilities included advising and assisting the Assistant Secretary for Financial Institutions, Under Secretary for Domestic Finance, and the Secretary and Deputy Secretary on all matters related to office implementation.

Office of Financial Institutions Policy. (November 2004 to July 2010). While Acting Director in this SES-level position, led policy staff in serving the Assistant Secretary for Financial Institutions and other officials. As Senior Policy Analyst, together with the Office's Director and staff, developed, analyzed, and coordinated the Department's policies on legislative and regulatory issues affecting financial institutions, including depository institutions, insurance companies, government sponsored enterprises, securities firms, finance companies, mutual funds, and all other regulated and unregulated financial intermediaries. Drafted Congressional testimony and speeches as well as draft legislative proposals. Advised White House officials and coordinated with Congressional staffs on insurance-related and other domestic finance matters. Oversaw policy issues related to the Terrorism Risk Insurance Program.

Terrorism Risk Insurance Program (June 2003 to November 2004). As Senior Attorney and Counsel in the Office of Legal Counsel, provided legal advice and assistance to the Program's Executive Director and staff in implementing the Terrorism Risk Insurance Act a post-September 11 program; ensuring compliance with the Act's legal requirements; performed research and analysis of legal issues related to the Program; and drafted legal documents, interim guidance, regulations, and advisory opinions related to the Program.

Spriggs & Hollingsworth, Washington, D.C. (April 1998 to June 2003). Managed complex insurance litigation on behalf of chemical, pharmaceutical, manufacturing, supply, and construction companies.

Braude & Margulies, P.C., Washington, D.C. (September 1995 to April 1998). Government-contract and construction litigation representing general and subcontractors, suppliers, and sureties, as well as insurance claims, contract claims and federal bid protests.

Contract Lawyer to several law firms; Maryland (June 1994 to September 1995).

Cousins & Johnson, Attorneys-at-Law, Stratford, Connecticut (July 1993 to June 1994). Litigated personal injury, workers' compensation insurance claims, Social Security disability and third-party insurance coverage actions. First-chaired jury trials and insurance arbitrations.

Kings County District Attorney's Office, Brooklyn, New York (August 1989 to July 1993). As Assistant District Attorney, handled all levels of prosecution from arrest, through grand jury presentment, to plea bargain and trial. Litigated pretrial hearings and misdemeanor and felony bench and jury trials to verdict. Extensive first-chair criminal court trial experience. Extensive motion practice.

EDUCATIONAL BACKGROUND

Pace University School of Law, White Plains, New York
J.D., 1989

- *Editor-in-Chief*, Pace International Law Review
- American Jurisprudence Award for Excellence in Contracts
- Blaine Sloan International Award and Jessup International Moot Court Team

University of Bridgeport, Bridgeport, Connecticut
B.S., Marketing, 1986

- Charles A. Dana Scholar
- Graduated with Honors
- Tau Kappa Epsilon

BAR ADMISSIONS

Virginia (1997), Maryland (1995), District of Columbia (1995), New York (1990), and Connecticut (1989). Various Federal district and appellate courts.