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6	ANNAPOLIS
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9 10	City of Annapolis
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21	Rules & Regulations
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24	OF THE
25 26	PERSONNEL SYSTEM
26	PERSONNEL SYSTEM
26 27 28 29 30 31 32 33 34 35 36 37 38	PERSONNEL SYSTEM Revised 2015
26 27 28 29 30 31 32 33 34 35 36 37	

2 Special Notes: 3

ABOUT THIS PERSONNEL MANUAL

5 6 This manual sets forth the Rules and Regulations of the City of Annapolis, hereafter referred to 7 as the City. The policies and provisions included in these Regulations have been implemented 8 under authority granted to the Human Resources Director by applicable sections of the Charter 9 and Code of the City.

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11 This manual and the appendices included in it provide an explanation of the employment 12 policies, procedures and employment benefits of the City of Annapolis. It applies to full time, 13 part time and other exempt service employees and volunteers.

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These Rules and Regulations also apply to City employees covered by collective bargaining agreements with labor unions. If any conflicts exist between policies and procedures included in the Rules and Regulations and a current union collective bargaining agreement, the terms and conditions of the union collective bargaining agreement shall take precedence for employees in the classifications subject to that union collective bargaining agreement, regardless of whether the rights and benefits are greater or less than those provided in the Rules and Regulations.

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These Rules and Regulations are not an employment contract. Nothing contained herein is intended to create or become an employment contract between the City and any person for the purposes of employment, promotions, or for the providing of any benefit.

The City retains the right to establish, change, modify, or make exceptions to these Rules andRegulations when necessary.

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- Any questions concerning the interpretation and application of these Rules and Regulations shall
 be referred to the Human Resources Director for resolution.
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- Additional administrative policy and procedure provisions are contained in the regulations of the
 City's individual departments and various union collective bargaining agreements.
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The personal pronouns he, his, and him used in these Rules and Regulations are to be interpreted to include both sexes. They are used merely for convenience and are not to be considered as any adverse reflection on either sex.

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- 39 If you have any questions about the manual or its contents, please consult your supervisor,40 Department Director or the Human Resources Department.
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1 2 3 4 5 6 7 8		CITY OF ANNAPOLIS RULES AND REGULATIONS OF THE PERSONNEL SYSTEM	
9		Major Sections	
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CODE OF ETHICS FOR PUBLIC OFFICERS AND EMPLOYEES

2 3 In order to maintain the trust and confidence of those we serve and to avoid 4 misunderstandings and conflicts of interest, City employees shall adhere to the following policy. 5 This policy is in accordance with Section 2.08 of the Code of the City. 6 7

No City public officer or employee shall:

9 Solicit or accept anything of value to the recipient such as gifts, favors, loans, preferred 1. 10 service, benefits or concessions that would reasonably tend to improperly influence him in the discharge of his official duties or give the appearance of improperly influencing him; 11 12

- 13 2. Use or attempt to use his position to secure special privileges, benefits or exemptions for 14 him or others;
- 16 3. Transact any business in his official City capacity with any business entity of which he is 17 an officer, director, agent, or member, or in which he has a financial interest.
- 19 Have personal investment in any enterprise, which would reasonably create a conflict 4. 20 between his private interests and the public interest.

22 If any public officer or employee of the City is an officer, director, agent or member of, or has a 23 financial interest in any corporation, firm, partnership, or other business entity which is subject 24 to the regulation of, or which has business transactions or commitments with, the City or other 25 political subdivision of the state, he shall file a financial disclosure form with the Ethics 26 Commission. 27

28 When an employee has any doubt as to the personal application of this policy, he should discuss 29 the possible conflict with the Human Resources Director or City Attorney.

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EMPLOYMENT NON-DISCRIMINATION AND HARASSMENT POLICY

33 The City is committed to creating a workplace that is free of all employment discrimination and 34 harassment without regard to political or religious opinion or affiliation, age, race, creed, color, 35 sex, national origin, marital status, physical or mental disability, sexual orientation genetic 36 information, or any other category protected by applicable law. This policy governs conduct in 37 the workplace and all other work-related activities.

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OLICY REGARDING ACCOMMODATION OF DISABILITIES AND PREGNANCY

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The City is committed to complying with all applicable provisions of state and federal laws

1 regarding the accommodation of disabilities. It is the City's policy not to discriminate against 2 any qualified employee or applicant with regard to any terms or conditions of employment 3 because of such individual's disability, perceived disability, or record of a disability. Consistent with this policy of non-discrimination, the City will provide reasonable accommodations to a 4 5 qualified individual with a disability, as defined by the Americans With Disabilities Act 6 ("ADA"), who has made the City aware of his or her disability, so long as that individual can 7 perform the essential functions of the job and provided that such accommodation does not 8 constitute an undue hardship on the City.

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10 The City encourages individuals with disabilities to come forward and promptly request 11 reasonable accommodations.

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An employee or job applicant who has questions regarding this policy should contact the HumanResources Department.

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- 16 Procedure for Requesting an Accommodation
- An employee or job applicant who has a disability and believes that he or she needs a reasonable accommodation to perform the essential functions of his or her job should contact the Human Resources Department with a request for accommodation. Pertinent medical information may be needed to determine the appropriate accommodation.
- 23 Upon receipt of an accommodation request and medical documentation, as appropriate, a 24 member of the Human Resources Department and pertinent staff will begin an interactive 25 process of determining whether the individual's disability can be accommodated. They will meet with the individual to discuss and identify the precise limitations resulting from 26 27 the disability and the potential accommodation(s) that the City might be able to make to help overcome those limitations. The City will then determine the feasibility of the 28 29 proposed accommodation(s) by considering various factors, including but not limited to, 30 the nature and cost of the accommodation, the City's overall financial resources, and the 31 accommodation's impact on the operation of the City, including its impact on the ability 32 of other employees to perform their duties and on the City's ability to conduct business.
- 33
- 34 <u>Non-Disclosure of Genetic Information</u>35

The Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits employers and other entities covered by GINA from requesting or requiring genetic information of an individual or family member of the individual, except as specifically allowed by law.

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To comply with this law, employees should not provide any genetic information when responding to a request for medical information made in connection with a request for an accommodation, including any leave of absence. "Genetic information," as defined by GINA, includes an individual's family medical history, the results of an individual's or family member's genetic tests, the fact than an individual or an individual's family member sought or received genetic services, and genetic information of a fetus carried by an individual or an individual's

1 family member or an embryo lawfully held by an individual or family member receiving 2 assistive reproductive services.

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4 Reasonable Accommodation Due To Pregnancy

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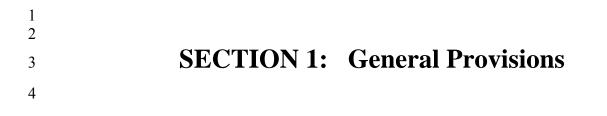
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Under Maryland law, pregnant employees are entitled to a reasonable accommodation for a disability caused or contributed to by pregnancy. If an employee provides the Director of Human Resources certification from a health care provider as to restrictions on their ability to perform their job while the employee is pregnant, the City will explore with the employee all possible means of providing a reasonable accommodation, which may include, but is not limited

- 11 to:
 - changing the employee's job duties
 - changing the employee's work hours
 - o relocating the employee's work area
 - o providing the employee with mechanical or electrical aids
 - o transferring the employee to a less strenuous or less hazardous position, or
 - o providing the employee with leave
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SECTION 1: GENERAL PROVISIONS

<u>1-1</u> Purpose

These Rules and Regulations set forth the policies of the Personnel System as established in the
Charter and Code of the City. They are intended to serve as a guide to administrative actions
affecting City employees.

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<u>1-2</u> Administration of the Personnel System

The Human Resources Director shall be responsible for the administration of the Personnel System. Amendments, changes or revisions of the Rules and Regulations shall be issued by the Human Resources Director and approved by the City Council, in accordance with Code Section 3.12.030.E. Upon enactment, any changes or revisions shall be forwarded to the Mayor, Council, all City departments, and others as appropriate and published on the City's intranet.

17 <u>1-3</u> General Terms Used in the Personnel System 18

- A. Definitions
 - 1. <u>Active Pay Status</u> A status in which an employee receives pay from the City for working his regularly scheduled work hours or while on paid leave.
- 2. <u>Anniversary Date of Classification</u> The date an employee begins employment in a classification and the same month and day in following years of uninterrupted employment in that classification.
- Anniversary Date of Employment The date an employee begins employment and the same month and day in the following years of uninterrupted employment.
 - 4. <u>Annual Performance Appraisal</u> An annual written assessment of an employee's job performance by the employee's supervisor(s).
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 32 Appointing Authority Person delegated to lawfully enforce Human Resources matters to include appointments, terminations and disciplinary action. The Appointing Authority may delegate responsibilities to a designated individual to act on his behalf. The Appointing Authority may also be referred to as the Department Director. In the Personnel Rules and Regulations the terms are used interchangeably.
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 6. <u>Certification</u> The referral of an applicant's name appearing on an eligibility list to a City department in order that the applicant be considered to fill a position vacancy in that department.
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7. Civil Service Board - The Civil Service Board is composed of citizens of

1 2 3		Annapolis appointed by Mayor and confirmed by City Council. The Board shall hear appeals filed by classified employees and perform other duties as described in City Code Section 3.12.040.
4 5	8.	<u>Civil Service Status</u> – An employment status given to persons who have successfully completed the probationary period and are in a classified position.
6 7 8	9.	<u>Classification</u> - A job category comprising a group of related positions sufficiently similar in general duties and responsibilities to be given the same title and pay range.
9 10 11	10	<u>Classified Status</u> - An employment status given to a full-time employee who has successfully completed an initial probationary period and is filling a classified position.
12 13	11	<u>Classified Position</u> - A full-time Civil Service authorized position which is not designated as exempt service.
14 15 16	12	<u>Compensatory Time</u> – Time off with pay granted to FLSA non-exempt employees in lieu of overtime pay which shall be at a rate of one and one half hours (1.5) for each hour worked over 40 in a single workweek.
17 18 19 20	13	<u>Compensatory Time (FLSA Exempt Employees)</u> – Time off with pay awarded at the discretion of the appointing authority in the event an FLSA exempt employee has consistently worked long hours under unusual conditions of a non-routine nature.
21 22	14	. <u>Demotion</u> - A change in an employee's classification to one which has a lower entry hourly wage rate.
23	15	. Dismissal/Discharge - Disciplinary separation from City employment.
24 25	16	<u>Eligibility List</u> - A list of names of all applicants who have passed the screening process for a specific classification.
26 27	17	<u>Examination</u> - Any instrument, process, or procedure used to assess the relative qualification of individuals to perform the duties of a specific classification.
28 29 30 31	18	<u>Exempt Service Status</u> - An employment status given to persons who are not covered by Civil Service status such as part-time, temporary, initial probationary, management and other classifications as listed in Chapter 3.08 of the City Code.
32 33 34 35	19	<u>FLSA</u> – The Fair Labor Standards Act, FLSA establishes minimum wage, overtime pay, recordkeeping and youth employment standards affecting employees in the private sector and in the Federal, State, and local governments.
36 37	20	<u>FLSA Exempt Employee</u> – Employees who are exempt from the overtime provisions of the Fair Labor Standards Act (FLSA). FLSA exempt employees
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1 2 3	are typically categorized as executive, administrative or professional, depending upon the nature of work performed. Employees earn a salary instead of an hourly wage.
4 5 6 7	21. <u>FLSA Non-exempt Employees</u> – Employees who, because of the type of duties performed, the usual level of decision making authority, and the method of compensation, are subject to the Fair Labor Standards Act (FLSA) including the payment of overtime.
8 9	22. <u>FLSA Overtime</u> – Overtime pay, or compensatory time in lieu of overtime, as specified in the Fair Labor Standards Act.
10 11	23. <u>Full Time</u> - Appointment to a position that requires a working schedule of (35) hours or more a week.
12 13 14 15	24. Job Description - A written description of the essential characteristics and duties of a classified position. Responsibilities, examples of tasks, required knowledge, skills and abilities, and qualifications needed to perform the work and included in a job description.
16 17	24. <u>Layoff</u> - A reduction of employees due to lack of work, funds, or other causes not pertaining to employee performance.
18	25. Layoff List - A list of employees separated from employment due to a layoff.
19 20 21	26. <u>Overtime</u> - Compensation paid to FLSA non-exempt employees in accordance with Fair Labor Standards Act or the requirements of a union collective bargaining agreement.
22 23	27. <u>Part-time</u> - Appointment to an exempt or non-exempt position that usually requires a working schedule of twenty-eight (28) hours or less per week.
24 25	28. <u>Pay-Range</u> - The minimum to maximum wage or salary rates which are assigned to a particular classification.
26 27 28 29	29. <u>Performance Improvement Plans (PIP)</u> – A written formal action plan to monitor and measure performance of an employee who does not meet minimum expectations, in order to assist the employee in improving performance.
30 31 32 33	30. <u>Performance Review Date</u> – The date an employee receives his official annual performance appraisal. The date usually coincides with the Date of Employment; however, this date may change during tenure due to performance related concerns.
34 35 36	31. <u>Position</u> - A group of job duties and responsibilities requiring the full-time or part-time employment of one (l) person. A position relates to the duties assigned and not to the employee performing those duties.
37	32. <u>Probationary Employee</u> - A full-time employee, upon initial employment,

1 2 3	serving a trial working period. An employee is placed in classified status after successful completion of the initial probationary period. Another probationary period is required for employees promoted, demoted or transferred.
4 5	33. <u>Promotion</u> - A change in an employee's classification to one which has a higher entry hourly wage rate.
6 7	34. <u>Recall</u> - An offer of reinstatement to former employees who are on a layoff list.
8	35. <u>Reemployment</u> - Hiring a former employee as a new employee.
9 10	36. <u>Reinstatement</u> - An action returning a former employee to City service without loss of his continuous employment status.
11 12	37. <u>Regular Work Day</u> – Regularly scheduled consecutive hours of actual work within a work day, generally a 7, 8, 10 or 12 hour shift.
13 14 15	38. <u>Regular Work Week</u> – Scheduled hours within the calendar week of five consecutive regular work days (expect for employees employed in a continuous operation, which will have its own regular work week schedule).
16 17 18	39. <u>Retirement</u> - The act of separating from City employment for the purpose of receiving retirement benefits upon fulfilling eligibility criteria of the applicable retirement system.
19 20	40. <u>Suspension</u> - A disciplinary absence from work without pay as directed by management.
21 22	41. <u>Temporary Employee</u> - An exempt or service employee appointed for a special project, program, grant or seasonal employment.
23 24 25	42. <u>Transfer List</u> - A list of employees in any classification who have advised they wish to move to another department, or within the same department, without changing their classification.
26 27 28	43. <u>Volunteer</u> – An individual who provides a service to the City without pay as directed by a Department and who shall be governed by all applicable rules and regulations.
29 30	44. <u>Work Day</u> – A period of 24 hour beginning at 12:00 midnight and ending at 11:59 p.m. on the following day.
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45. <u>Work Week</u> – Seven consecutive days beginning at 12:00 midnight on Thursday and ending at 11:59 p.m. on the following Wednesday.

<u>1-4</u> Personnel Records and Reports

A. The Human Resources Department shall maintain personnel records of all employees.
 Files maintained by the Human Resources Department are the official personnel records for all employees.

B. An employee may inspect his personnel record during the normal office hours of the
 Human Resources Department and may submit for inclusion in his file a written and signed
 comment on any material contained in his personnel record.

14 <u>1-5</u> References

All reference requests regarding past or present employees must be directed to and processed by the Human Resources Department. No other City employees are authorized to provide employment references. The Human Resources Department will confirm dates of employment, position title and rate of pay after receiving written authorization from the employee.

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SECTION 2: Application for Employn	nent Provisions
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SECTION 2: APPLICATION FOR EMPLOYMENT PROVISIONS

<u>2-1</u> General Policy

5 There shall be no discrimination against any person in recruitment, examination, appointment, 6 training, promotion, retention, or any other personnel action because of political opinions or 7 affiliations, or because of race, religion, color, sex, age, national origin, physical or mental 8 disability, marital status, veteran status, sexual orientation, genetic information, or any other 9 factor as determined by applicable law.

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As part of the commitment of the City to the principles of equality of opportunity, the Human
 Resources Department will continue furthering efforts to ensure a diverse and well-qualified
 workforce.

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Reasonable effort shall be made to accommodate disabled applicants in all departments. Physical standards shall be adapted to the realistic requirements of jobs and will be based on information regarding working conditions, hazards, and essential physical requirements of each job. Physical standards will not be used to arbitrarily eliminate persons with disabilities from consideration.

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21 <u>2-2</u> Recruitment and Examination Announcements

22 23 All recruitment notices and announcements of examinations for positions shall be issued by the 24 Human Resources Department and available in the Human Resources Office, on official City 25 bulletin boards and in other appropriate places. When recruitment is conducted for persons not employed by the City, advertisements may be placed in both the general and specialized media. 26 27 A full time permanent civil service employee who has passed the probationary period is eligible 28 to be considered for internal job vacancies. The announcement shall specify the title and salary 29 range of the classification, the qualifications necessary or desirable for the performance of work, 30 the place and manner of making application, a closing date for consideration of applications, if 31 applicable, and other essential information.

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33 <u>2-3</u> Employment Application Form and Process

34 35 Applications for employment shall be made in a format approved by the Human Resources Applications shall require personal information covering an applicant's 36 Department. qualifications. Each application must be signed by the person applying with a certification as to 37 the truth of the information contained therein. The City shall require proof of age, education, 38 39 experience, and other information as may be appropriate. Applications shall not be considered if 40 not received by the announced closing date unless an exception is approved by the Human 41 Resources Director.

42

43 Appointments to Exempt Service positions are made by the Mayor or his designee as defined by

44 City Code. Applications submitted by applicants for these positions are evaluated based upon

45 criteria established for that position, with the most qualified applicants proceeding to subsequent

stages in the selection process. Subsequent evaluation may consist of structured interviews,
examinations, or any other criteria, which fairly and impartially assess suitability to perform the
duties of the position.

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<u>2-4</u> Disqualification for Employment

7 The City shall not select an applicant who does not possess the minimum qualifications required 8 for the position or fails to pass any required examination for that position. Failure to meet 9 physical requirements for a particular job as determined by physical ability test(s) and/or the 10 City's designated physician may also result in disqualification. An applicant with a disability who cannot be reasonably accommodated may be disqualified. Fraudulent conduct or false 11 12 statements by an applicant, or by others at his request or with his knowledge, in any application 13 or examination, shall be cause for the disqualification of such applicant from an examination, 14 removal of his name from all eligibility lists, or termination from City employment.

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16 <u>2-5</u> Examination Procedures 17

18 Whenever a vacancy exists or is contemplated for a Civil Service position, the Human Resources 19 Department in conjunction with the department's appointing authority shall prepare selective 20 criteria and/or administer competitive examinations to determine the relative qualifications of 21 applicants for the position as may be needed to determine the qualifications of the applicants. In 22 classifications where vacancies frequently occur, continuous recruitment examinations may be 23 administered. All examinations will be designed to fairly and impartially assess the merit, fitness, 24 experience and other qualifications of an applicant to perform the duties of the classification. 25 Examinations may consist of one or more of the following:

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- Written examination
- Oral examination
- 29 Performance examination
 - Evaluation of training and experience
 - Performance in present classification
 - Length of time in present classification
- Any other applicable criteria which will fairly assess the abilities of an individual to
 perform the duties required for the position
 - Upon conditional offer of employment, medical examination
- 35 36
- An employee shall suffer no loss of pay for work hours spent in examinations for Civil Service positions.
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- 40 Sound measurement techniques and procedures shall be used in the scoring of examinations and
- 41 determining the relative qualifications and/or ranking of candidates. At the City's discretion,
- 42 final scores may be determined by the score achieved in any single element, or by combining 43 scores achieved in any or all of the individual elements of the total process in accordance with
- 45 scores achieved in any of an of the individual elements of the 44 the value or weight established for each element.
- 45

1 The retake of an examination shall be limited to those examinations administered solely for 2 entrance level positions. An applicant for an entrance level position that requires examinations 3 may retake an examination after two weeks have elapsed from the time the examination was 4 initially taken. An examination may be taken a third time after two weeks have elapsed from the 5 first retake. No additional retakes shall be allowed until one year has elapsed from the date of the 6 last retake. An applicant may retain his original score on his examination, if achieved within one 7 calendar year, or may accept the score of a retake, at his discretion.

8 9 <u>2-6</u> Eligibility Lists

An applicant who achieves a passing score on a competitive examination shall be placed on the eligibility list for that classification, according to his examination score. An employee requesting a transfer within the same classification shall be placed at the top of the eligibility list for the classification and no examination shall be required. Honorably discharged veterans of the United States military services shall be given preference in accordance with applicable laws.

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An applicant's name shall remain on the eligibility list for a period of one year. The Human
Resources Director may, in writing, extend the entire list beyond that date. In no event shall an
eligibility list be in effect for more than two years.

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Whenever a Civil Service position is established or becomes vacant, the Human Resources
 Department shall certify to the requesting department the names of persons for each vacancy, in
 descending score order, as well as all persons on the transfer list for that classification.

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Names of all applicants with the same score as the certified applicant with the lowest score shall also be certified to the department for consideration in filling the vacancy. The department shall have the discretion to fill the vacancy with any of the names certified. When an applicant is certified to the same department more than once in a twelve (12) month period, the department may request replacement with an additional certification

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<u>2-7</u> Pre-employment Medical Examinations

An applicant who has been selected and given a conditional offer of employment, including but not limited to those being reemployed, recalled, or reinstated, shall be required to undergo a drug screening test prior to his employment and may be required to undergo a medical examination. The drug screen and medical examination shall be scheduled by the Human Resources Department and the drug screening test must be taken within two workdays of job offer and evaluated by the City's designated physician.

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40 **2-8 Employment of Relatives (Nepotism)**

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Approval will not be granted for the employment, promotion, or transfer of a member of the
immediate family of an employee or other relative by marriage including but may not be limited
to: spouse, father, mother, son, daughter, stepparent, stepchild, brother, sister, father-in-law,
mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, aunt, uncle,

- grandparents, grandchildren or other members of the employee's household to a position where the employee would be either supervising, subordinate to or directly influencing the activities of
- 3 4 5 the relative.

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3	SECTION 3:	Employment Provision
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SECTION 3: EMPLOYMENT PROVISIONS

<u>3-1</u> Probationary Period

All appointments to Civil Service positions shall be subject to a probationary period. While in
the probationary period, an employee shall be deemed an "at will" employee. The probationary
period shall be utilized to closely observe an employee's work performance in an effort to ensure
the employee's suitability for the position and to assess the employee's job performance. An
exempt status employee (as defined in Section 1 of this policy) is not required to serve a
probationary period.

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A probationary period for an employee in most Civil Service positions shall be a minimum of twelve (12) months for entrance and promotional appointments. A probationary period may, at management's discretion, be extended for six months to allow for additional observation of an employee whose job performance is considered marginal and who may otherwise not successfully pass the probationary period.

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Any extensions of the probationary period shall be noted on an employee's annual performance evaluation form conducted prior to the expiration of his twelve (12) month probationary period and the Human Resources Department notified. The employee must be advised that his probationary period is being extended prior to the expiration of the normal probationary period. A final performance evaluation form shall be completed and forwarded to the Human Resources Department prior to completion of an employee's probationary period indicating whether he is to be classified, terminated or demoted.

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Additional time may be approved by the Human Resources Director for classifications which require special training, licenses and certifications. In such cases, the probationary period may be extended for an additional one (1) year period. In no case shall the probationary period for any employee be extended for more than two (2) years.

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Cumulative absences of thirty (30) or more calendar days and any suspensions from scheduled
 work shall extend a probationary period. The Department Director is responsible for computing,
 adjusting and documenting adjusted probationary periods.

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<u>3-2</u> Termination or Demotion of a Probationary Employee

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A probationary employee who has never attained Civil Service status in any classification may be terminated or demoted at the discretion of his Department Director. Grievance and appeal procedures are not available to an employee who has never attained Civil Service status in any classification.

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42 A Civil Service employee serving a probationary period as a result of a promotion or transfer 43 who is found to be unable to perform the duties of the position shall be returned to his prior 44 position if it is vacant, or may be transferred to a vacant position with the same job classification 45 as his prior position, subject to approval of the Director of that Department. The Department shall provide written notice specifying the reasons for failure to pass the probationary period. If there is no job vacancy or he is not transferred, he will be terminated and he becomes eligible to apply as an internal candidate for his prior classification for a period of six months. A Civil Service employee may appeal his termination or demotion action directly to the Civil Service Board.

7 <u>3-3</u> Civil Service Status

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9 Civil Service status is attained after satisfactory completion of the probationary period in a
10 specific classification. Once an employee passes his probationary period, he becomes a classified
11 employee and receives all applicable benefits and rights as provided by the Rules and
12 Regulations of the Personnel System. Civil Service appeal procedures do not apply to Exempt
13 Service Employees who are listed in Chapter 3.08 of the City Code.

1 2	SECTION 4:	Classification and Pay Provisions
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SECTION 4: CLASSIFICATION AND PAY PROVISIONS

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Classification Provisions

A. Purpose of the Classification Plan

The classification plan provides for a systematic arrangement and inventory of a wide variety of classified and exempt service positions. The plan groups related positions into classifications with a similar range of duties, level of responsibility, and nature of work performed. Two or more classifications that are similar in type of work, but differ significantly as to the level of responsibility and difficulty, may be grouped into a single classification series.

B. Classification Plan Administration and Maintenance

The Human Resources Department shall be responsible for establishing, administering, and reviewing the classification plan. No person shall be appointed to a classified position in the City under a title not contained in the classification plan. Surveys and studies shall be conducted of new or proposed positions for assignment to the proper classification. Job audits are conducted to analyze officially assigned duties and responsibilities and, when appropriate, positions shall be reclassified.

C. Job Descriptions

Job descriptions shall be developed and maintained for each classification describing the general duties, essential functions and qualifications for the classification. Job descriptions are not to be considered as restrictive, but are intended to indicate the types of duties and tasks that are generally assigned to the respective classifications. Job descriptions shall not be held to exclude those duties and essential functions which are not specifically mentioned, and the phrase "performs other duties as assigned" shall be liberally interpreted. All job descriptions are to be interpreted in a broad sense, and are not intended to be construed as limiting or modifying the authority of management to assign, direct and evaluate the work of any employee.

- Job descriptions shall include the FLSA status, which will determine whether a position is eligible for overtime and/or compensatory time.
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<u>4-2</u> Position Reclassification (Job Audit)

42 Classified positions may be reclassified when evidence supports the finding that the job 43 description, duties and responsibilities are not consistent with the position's classification. A 44 Department Director may request a review of a position during the month of October. 45 Classification studies may result in a position upgrade, downgrade, lateral reclassification or 1 confirmation that the position is currently classified appropriately.

Whenever an employee affected by a job audit feels that his position has been classified
incorrectly, he may appeal the determination to the Human Resources Director for review.
Decisions of the Human Resources Director are final and not subject to grievance or further
administrative appeal.

4-3 Result of Reclassification

A. Reclassification to a New or Revised Classification

When an employee is reclassified as a result of the establishment of a new or revised classification, he will retain his present classification status. The employee's anniversary date in classification and relative position in the salary range will not be adjusted as a result of such action. Exceptions to this policy must have the approval of the Human Resources Director.

B. Reclassification to a Higher Pay Grade

Should an employee be reclassified to an existing classification with a higher entry pay, such change shall be considered a promotion (see Promotion 4-5a).

C. Reclassification to the Same Pay Grade

Should a position be reclassified to a similar existing classification with the same pay grade, an existing employee shall receive a change in classification title without an examination and shall maintain his same pay and date of classification.

D. Reclassification to a Lower Pay Grade

Should an employee be reclassified to an existing classification with a lower entry pay, he shall be treated in accordance with demotion procedures (see Demotion 4-5B).

35 <u>4-4</u> Pay Provisions

The Civil Service and Exempt pay plans shall be implemented, maintained, and administered by
 the Human Resources Department. The pay plans shall provide the basis of compensation for all
 employees.

- 42 The Civil Service Pay Plan shall include:
- 44 A. Tables of basic pay rates

Schedules of pay grades for each title in the classification plan consisting of the minimum and the maximum hourly rate and any applicable pay steps.

- A description of applicable pay progression, pay differential, and other compensation policies.
- 7 B. Amendment of the Pay Plans

Amendments to the pay plans shall be considered when changes in responsibilities of work, cost of living, availability of labor supply, prevailing rates of pay, the City's financial condition, or other pertinent economic information supports such action. After consultation with department directors and other concerned parties, the Human Resources Director may implement amendments to the pay plans in accordance with Section 3.12.070 of the City Code.

17 C. Pay Rates for New Employees

Entrance appointments to Civil Service positions shall be made at the minimum pay rate of the assigned pay range. Written requests by department directors for a pay rate above the minimum of the range shall only be approved for exceptional reasons which are documented in the request and must have the prior authorization of the Human Resources Director, or his designee.

25 D. Red-Lining

An employee may receive a pay rate that is above the maximum range assigned to the job grade. This employee will be "red-lined". A red-lined employee is not eligible for further pay increases until the range maximum reaches or exceeds the employee's current pay rate.

E. Out of Class Pay

Employees performing the duties of a higher classification for more than ten (10) consecutive workdays shall be paid out of class pay at an hourly rate 5% higher than their regular pay, subject to the approval of the Department Director.

- F. Overtime and Compensatory Time Policy

In accordance with the Fair Labor Standards Act (FLSA), overtime and compensatory time shall
be administered as described. For employees in classifications covered by collective bargaining
agreements, other terms and conditions may apply. Each job description contains the position's

44 FLSA status. Relevant FLSA terms are defined in section 1-3.

II. Policy:

- A. FLSA Non-Exempt Employees: This section applies to employees who are not exempt from the overtime provisions of the Fair Labor Standards Act (FLSA).
 1. Overtime:
 - a. One and one-half (1.5) times an employee's hourly rate shall be paid for all hours worked in excess of forty hours actually worked within the work week.
 - b. For the purpose of computing overtime as described in a. above, paid leave hours are not regarded as hours worked.
 - c. If an employee uses scheduled leave for part of a work day and is required to work scheduled overtime in the same work day, the employee's work start time and work end time should be adjusted so that the payment of any daily overtime is minimized as much as possible.
 - d. Employees in classifications to which the partial overtime exemption applies under 29 U.S.C. §207(K) (Sworn Police and Fire Personnel) shall receive overtime compensation at the rate of one and one-half (1.5) times the number of hours worked beyond the statutory maximum for the applicable work cycle.
 - 2. Compensatory Time:
 - a. Compensatory time may be used to compensate a non-exempt employee for performing work outside normal working hours at the rate of one and one-half (1.5) hours off for each hour of overtime worked. The supervisor and the employee must agree in writing prior to the work being performed that the additional time worked will be compensated by using compensatory time. Such agreement will be documented using an employee leave form.
 - b. Employees, including those in classifications to which the partial overtime exemption applies under 29 U.S.C. §207(K), may receive compensatory time in lieu of overtime at the rate of one and one-half (1.5) times the number of hours worked in excess of forty (40) hours in a work week, provided that the employee and the appointing authority have reached written agreement to that effect prior to the work being actually performed. Such agreement will be documented using an employee leave form.
 - c. Each department has the authority to manage the use of

1		compensatory time. In this regard, some departments find it more
2		ffective and efficient to require an employee who has earned
3 4 5		compensatory time during one quarter (or month, pay period, or
4		ome other time frame) to use that compensatory time before the
		nd of the following quarter (or month, pay period, or established
6		ime frame). Use of compensatory leave time will be documented
7	υ	using the employee leave form.
8		
9		Compensatory time leave balances earned by non-exempt
10		employees may not exceed 120 hours (representing 80 hours of
11		overtime work). An employee must be paid overtime for hours
12		vorked in excess of the 120 hours compensatory leave balance
13	n	naximum.
14		
15		Jnused compensatory time earned by non-exempt personnel shall
16		be paid no later than the end of each fiscal year. Requests will be
17		ubmitted by the Department for payout of all accrued
18	С	compensatory time with the effective date shown as the last pay
19	p	period in the fiscal year.
20		
21		bloyees: This section applies to salaried FLSA-exempt employees
22	in administrative, ex	xecutive and professional positions.
23		
24		categorized as FLSA-exempt are to be paid on an annual "salaried"
25		xpected to work those hours necessary to complete their assigned
26	responsibilities	
27		
28	0 0	f compensatory time shall be subject to available appropriations
29		ds of efficiently providing City services, and is not an employee
30	entitlement or r	ight.
31		
32	· · · · · · · · · · · · · · · · · · ·	thorities shall have the discretion to provide FLSA-exempt
33	1 1	pensatory time off with pay based on the needs of the Department
34		with the following guidelines and subject to the following
35	limitations:	
36	1.	ay be granted hour for hour compensatory paid time off when he
37	С	or she:
38		
39		n one hour beyond the regularly scheduled hours actually
40	worked in the en	mployee's regular work day;
41		
42	(2) works at least ar	hour on a regularly scheduled day off;
43		
44		n hour on an official City holiday, provided the employee
45	was not schedu	led to work on the holiday as part of the regular work

1	schedule; or
2 3 4	(4) has worked significant additional hours and demonstrated extra effort that the Appointing Authority deems worthy of recognition.
	employee <i>may not</i> be granted paid compensatory time off if:
7 8	(1) compared time is not approved by the appleves's supervisor. The
8 9	(1) compensatory time is not approved by the employee's supervisor. The appointing authority will have the final decision with regard to any dispute
10	that may arise with regard to the granting of compensatory time;
10	that may arise with regard to the granting of compensatory time,
12	(2) it is the employee's poor productivity or performance that the employee to
13	work extra hours to complete their assignments; or
14	
15	(3) an employee has already reached the 80 hour maximum limit for FLSA
16	exempt employees.
17	
18	(4) Compensatory time may be taken in one-hour increments only.
19 20	(5) After the initial one hour is worked compensatory time is awarded in
20	(5) After the initial one hour is worked, compensatory time is awarded in quarter hour increments only for additional time worked.
22	quarter nour increments only for additional time worked.
23	(6) Compensatory time may be taken at times approved by the employee's
24	supervisor only.
25	
26	(7) The FLSA-exempt employees may not accrue more than 80 hours of
27	compensatory time.
28	
29 20	(8) Cash shall not be paid to FLSA exempt employees in lieu of paid
30 31	compensatory time.
31	(9) No cash payment will be made for compensatory time when an exempt
33	employee leaves employment, moves to a non-exempt position, or when
34	an employee becomes subject to a union collective bargaining agreement.
35	
36	(10) If the compensatory time is awarded for work performed beyond a regular
37	work day, an employee must actually work the regular work day.
38	
39	(11) If the compensatory time is awarded for work performed on a holiday or a
40	regularly scheduled day off, an employee must actually work the regular
41	work week.
42	(12) Appointing Authorities shall be responsible for
43 44	(12) Appointing Authorities shall be responsible for:
44 45	a. Administering and controlling the use of compensatory time off
ŕJ	a. A commissioning and contronning the use of compensatory time off

1			that is	granted	to FLSA-exempt employees in his or her Department.
2					
3			D: .		onsulting with the City Attorney and the Human Resources
4				-	to suspending any FLSA-exempt employee without pay.
5					t employees who are subject to disciplinary Action resulting
6					suspension may not be suspended for less than a day.
7					uthorities must seek guidance from the Office of Law and
8 9			the Hu	iman Ke	esources Director in all such situations.
9 10 11	<u>4-5</u>	Perso	nnel Ac	tions	
12		A.	Promo	otion	
13			A		may be selected for anomation to a negitive with a higher entry rate
14 15					may be selected for promotion to a position with a higher entry rate promotion, an employee shall have his pay grade, hourly pay rate,
16					date, and probationary period adjusted as follows:
17			Classif	Ication	date, and probationary period adjusted as follows.
18			An em	nlovee	shall be placed in the pay grade established for the classification to
19					romoted and shall receive either the minimum pay rate of the new
20					or the pay rate which will provide a five percent increase in his
21					pay, whichever is greater. If the pay increase results in an hourly
22					bay steps, the employee shall receive the higher step.
23				-	
24			-		employee who previously held permanent Civil Service Status shall
25					l Service Status rights and privileges during the probationary period.
26			-		employee shall be subject to a twelve (12) month minimum
27			probat	ionary _l	period.
28					
29		В.	Demo	tion	
30			1	Effect	of Domotion on Dou
31 32			1.	Effect	of Demotion on Pay
32 33				An on	ployee demoted to a classification with a lower entry rate of pay
34					have his pay grade, hourly pay rate, classification date and
35					ionary period adjusted as follows:
36				produc	ionary period adjusted as follows.
37				a.	Demotion will not result in a pay increase.
38					
39				b.	Pay will not exceed the maximum hourly rate of the pay grade
40					designated for the lower classification.
41					
42				c.	An employee demoted for non-disciplinary reasons to a lower
43					classification shall retain his current hourly pay rate or the
44					maximum hourly rate for that classification, whichever is lower.
45					

1 2 3 4		d. The Human Resources Director may approve administrative exceptions to the demotion pay policy upon the submission of a written request from a department director detailing any extenuating circumstances.
5 6		2. Demotion Approvals and Appeal
7 8 9 10 11		All demotions and resulting adjustments in an employee's pay rate shall be subject to the prior review and approval of the Human Resources Department. A classified employee demoted involuntarily may appeal the action directly to the Civil Service Board.
12 13	C.	Transfers
14 15 16 17 18		Employees may be transferred when necessary to a position within the same or a different classification. When transfers are dictated by reorganizations, every effort will be made to avoid reductions in pay.
19 20	<u>4-6</u>	Performance Appraisals
21	A.	General Provisions
22 23 24		mployee performance evaluation is designed to examine and review an employee's work mance. The ratings shall be set forth on the performance evaluation form.
25 26 27	B.	Intent of Performance Evaluation
27 28 29 30 31 32 33 34 35	evalua emplo estima perfor	erformance evaluation will indicate to an employee how his past performance has been ted by his immediate supervisor(s), and may serve as the basis for discussing how an yee's performance can be improved. The performance evaluation may be used in ting an employee's potential for advancement or for documenting unsatisfactory job mance. In addition, the performance appraisal may serve as an opportunity to establish goals and performance objectives as well as an opportunity to address other work-related
36 37	C.	Frequency of Performance Evaluations
38 39 40 41	Exem	ssified employee shall receive regular annual ratings on his performance review date. ot employees, who are not filling management positions (e.g., temporary and part-time), eccive performance evaluations at the discretion of their department director.
42 43	D.	Performance Evaluation Rating Procedure
44 45		nmediate supervisor(s) most directly familiar with an employee's performance during the tion period shall be responsible for completing a performance evaluation rating unless

otherwise assigned by the department director. If an employee has had more than one supervisor
 during the rating period, all of the supervisors may contribute to his performance evaluation at

- 3 the department director's discretion.
- 4

An employee should sign and date the evaluation form indicating that he has received a copy of his performance evaluation. The signature does not mean that he agrees with the supervisor's evaluation. If the employee refuses to sign the form, it should be so indicated by the supervisor in the space provided for the employee's signature. If an employee disagrees with the performance review they may submit a written response to the evaluation to the Human Resources Department for inclusion in their personnel file.

11

12 If an employee is displaying substandard performance, the supervisor shall inform the employee 13 in writing of the unacceptable performance as soon as the events triggering unsuitable 14 performance occur. Suggestions to improve the performance shall be included in the discussion 15 or correspondence to the employee and documentation of this meeting shall be placed in the 16 employee's personnel file.

17

18 If substandard performance results in an unsatisfactory rating on the performance appraisal, the

19 appraisal shall be accompanied by a Performance Improvement Plan (PIP). The PIP will clearly

20 state the areas of concern regarding performance and shall specify the performance standard to

21 be met, the steps necessary to achieve that standard and the follow-up review requirements.

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20 SECTION 5: Miscellaneous Rules and Employme	ent
21 Conditions	
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SECTION 5: MISCELLANEOUS RULES AND EMPLOYMENT CONDITIONS

<u>5-1</u> Departmental Rules

Each department may promulgate and implement departmental rules for employees and
volunteers based upon operational needs and requirements as a supplement to the Rules and
Regulations. Copies of departmental rules shall be readily available to employees.

9 <u>5-2</u> Hours of Work

Full-time employees shall work a minimum of thirty five (35) hours per week. The normal office workday shall be from 8:30 a.m. to 4:30 p.m., Monday through Friday, with time for an unpaid lunch period of one hour scheduled at the discretion of management. Working hours, including flex time, other than the above shall have prior approval of the Human Resources Department.

16 <u>5-3</u> Attendance

Each employee shall be at work by his designated starting time. An employee shall personally notify his supervisor or other designated departmental representative prior to or at the beginning of a scheduled work period and receive authorization for his absence whenever he cannot report to work on time. An employee who fails to report his absence in accordance with City or departmental regulations shall be considered to be absent without authorized leave. All departments shall be responsible for maintaining accurate daily attendance records which shall include the types of and specific reasons for each absence.

26 <u>5-4</u> General Appearance, Work Attire, and City Uniforms

The City expects employees to dress professionally. All employees are expected to be dressed and groomed appropriate to the work environment.

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Employees is certain positions (primarily public works and public safety) may be required to wear uniforms, safety shoes or other safety equipment while at work. Failure to do so may result in disciplinary action.

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The City recognizes that there are a number of employees with limited access to the public for whom casual dressing is acceptable. Employees who are allowed to dress in business casual clothing are expected to wear neat, clean clothing without holes or tears and clothing which is not provocative or insufficiently covers the body. Employees are not to wear clothing with written messages or depictions

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41 Due to the varied nature of the City offices, each department head may determine the dress code
42 that is appropriate to their office using the guidelines cited here.
43

44 <u>5-5</u> **Double Employment**

No City employee may engage in or have employment involving more than one full time
 position with the City. A part-time employee may hold a second part time position with the City
 only if the employment in the second position is approved in advance by the Human Resources
 Director.

5-6 Outside Employment

8 Employees may engage in outside employment during off duty hours when such employment 9 does not, in any way, interfere or create a potential conflict of interest with their City 10 employment. An employee, who wishes to engage in any outside employment, including self-11 employment, shall provide information concerning his proposed employment to his department 12 director who shall consider the request based on the criteria listed above, prior to permitting the 13 employee to engage in the proposed secondary employment.

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15 An employee who wishes to engage in outside employment shall make arrangements to be 16 relieved from his outside employment duties if and when called for duty by the City.

17

All injuries sustained while engaged in outside employment that would impair the employee's ability to perform the employee's duties for the City must be reported to the employee's supervisor within 24 hrs. after the injury is sustained. An employee may not use the City's Workers' Compensation for an injury sustained during the outside employment.

22 23

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<u>5-7</u> Participation in Employee Organizations

City employees have the right to form, join, and participate in, or to refrain from forming,joining, or participating in any employee organization.

27

Eligible City employees shall have the right to be represented by an employee organization of their own choosing, to negotiate collectively through a certified bargaining agent with their employer in the determination of the terms and conditions of their employment, and to be represented in the determination of grievances.

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It is the intent of the City that nothing in this section shall be construed to either encourage ordiscourage the organization of employees.

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36 <u>5-8</u> Cell Phone Usage

An employee who uses City-supplied equipment or a City-supplied vehicle is prohibited from using a cell phone, hands on or hands off, or similar device while driving or operating the equipment. This prohibition and limitation includes receiving or placing calls, text messaging, surfing the Internet, receiving or responding to email, checking for phone messages, or any other purpose related to your employment. When not driving or operating equipment all City employees are expected to limit cell phone usage and personal calls to a bare minimum.

43 <u>5-9</u> Use of City Vehicles or Privately Owned Vehicles on City Business

- It is necessary for some employees to have City owned vehicles at their disposal in order to carry
 out their official duties. Under no circumstances shall the vehicle be used for personal business
 or pleasure. No City vehicle shall be used to transport non-employees without prior supervisory
 approval.
- 6
- An employee driving a City vehicle, or a personal vehicle for City business, shall have in his
 possession a valid driver's license or, if applicable, a valid Commercial Drivers License (CDL).
 An employee who operates a vehicle on behalf of the City has a responsibility to immediately
 report the loss or suspension of his license or CDL license to his supervisor.
- 10

For those City vehicles assigned to an employee on a 24 hour basis, off street parking should be provided when the vehicle is taken to a place of residence. Before a City vehicle can be driven to and from work, an employee shall obtain approval from his department director.

15

All mechanical defects or malfunctions on City vehicles should be reported by employees assoon as possible to their assigned departmental representative.

18

19 If a City vehicle is involved in an accident, the employee shall immediately notify the Police

Department and his Department Director and must undergo a post-accident alcohol test within
 2 hours of the time of the accident and a post-accident drug testing within 32 hours.

21 22

An employee operating a City vehicle, or a personal vehicle in the performance of duties, is expected to drive safely and comply with all traffic laws of the State of Maryland, including laws regarding testing, cell phone usage, and the use of seat belts. The payment of any fines received by improper driving shall be the responsibility of the employee, e.g., speeding, red light offense, etc.

28

Employees who are in classifications or positions that require the use of their personal vehicle to carry out assigned duties and who qualify for dedicated vehicle and mileage allowance are responsible for maintaining automobile liability, property damage and personal injury insurance coverage

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34 <u>5-10</u> Address and Telephone Number 35

Each employee shall provide his Department and Human Resources his current home address and telephone number(s). This information shall be included in the employee's personnel record and shall also include name, address and telephone number of the person(s) to contact in case of emergency.

40

41 <u>5-11</u> Political Activity

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City employees shall not take any active part in political campaigns or other political activities
while an employer is on duty during working hours. No equipment, materials or facilities owned
or operated by the City may be used by anyone in the conduct of any political activities.

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2 No employee shall directly or indirectly use or seek to use their official positions, authority, or 3 influence to control or modify the political action of any other person. Employees are also 4 prohibited from using their positions or influence for the purpose of interfering with or affecting 5 the result of an election or nomination for office; or, directly or indirectly coercing, attempting to 6 coerce, command, or advise other employees to lend or contribute anything of value to a party,

- 7 organization, or person for political purposes; or, being a candidate for an elective partisan office 8 with the City.
- 9

10 Employees may express their personal opinions privately to others. However, they must remain objective, non-committal, and professional when others wish to discuss City politics with them. 11

12 Employees may not be required to contribute to a political campaign or pay dues to a political 13 party.

14 Employees having questions concerning political activities should consult the City Office of 15 Law. 16

- 17 5-12 Solicitation of Contributions, Memberships, or Business
- 18

19 The solicitation of contributions, memberships, or business among employees of the City shall 20 not be permitted on City property during the employee's working hours except for charity drives 21 and promotions specifically authorized by the Department Director and/or Director of Human 22 Resources.

23

24 Employee organizations, their members, agents, representatives, or persons acting on their behalf 25 are prohibited from soliciting employees during working hours. This section shall not be 26 construed to prohibit solicitation by employee organizations during the employee's lunch and 27 permitted break period.

28

29 **Smoke Free Work Environments** 5-13

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31 Smoking or carrying lighted tobacco products including, but not limited to cigarettes, cigars, or 32 pipes is prohibited in all City facilities and vehicles. Employees are also prohibited from 33 smoking or giving the impression of smoking while conducting City business except during 34 lunch or permitted breaks in designated smoking areas. 35

36 5-14 **Bulletin Boards**

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Bulletin boards are provided by the City and shall be located in approved work areas for the purpose of posting announcements of interest to employees. Department management is responsible for approving all announcements prior to their posting and for maintaining City bulletin boards in an orderly and current condition. An employee wishing to post material on a bulletin board must submit the material to his department for approval before posting.

42 43

44 Seniority, Layoff, and Recall Procedures 5-15

An employee may be laid off when necessary because of shortage of funds, lack of work, the abolishment of a position, or other substantive changes in job duties or the organization, or for business reasons unrelated to the employee's performance. The duties performed by any employee laid off may be reassigned to other employees.

6 3A. Types of Seniority

	1. Semonty
1	
1	Seniority shall be defined as the length of time since an employee's most recent date of employment or reemployment with the City. Seniority shall be used for
	purposes of computing annual leave accrual, service awards, and other matters based upon the total length of continuous employment.
	1. Classification Seniority

Classification seniority shall be defined as the length of time in a classification and shall be used in conjunction with the current pay plan and administrative policies for the purposes of pay progression and other matters based upon length of service in a classification.

3. Seniority Adjustment

Seniority

City and classification seniority shall continue to accrue during all types of paid leave except for leaves of absence or suspensions without pay which are in excess of thirty (30) consecutive calendar days.

An employee having a minimum of five years of continuous City seniority shall suffer no loss of City or classification seniority while on a medical leave of absence without pay for a period not to exceed twelve (12) months.

4. Loss of Seniority

An employee shall lose his City and classification seniority as a result of the following:

- a. Resignation
 - b. Retirement (Regular or Disability)
 - c. Termination and Dismissal
 - d. Layoff without reinstatement within nine (9) months.
- 45 B. Layoff Procedures

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1		
2		1. Application
3		
4		a. Classified Status Employees
5		
6		The layoff procedures described herein shall apply to employees who have
7		attained classified status. No classified employee shall be laid off while another
8		employee is employed in temporary, part-time, or probationary status in the same
9		classification.
10		
11		b. Exempt Service Status Employees
12		
13		Employees in exempt service status are not covered by the layoff procedure.
14		Exempt status employees may be discharged at the discretion of the City.
15		Employees in exempt status who are separated from employment are not entitled
16		to be placed on a recall list for possible reinstatement to City employment.
17		
18		2. Layoff Criteria
19		
20		Employees shall be laid off in the inverse order of their length and quality of
21		service. While an employee with less classification seniority will generally be laid
22		off before an employee with greater classification seniority, the Department
23		Director shall have discretion to retain an employee with superior qualifications
24		or performance as determined by the Director in order to lay off a more senior
25		employee with inferior qualifications or performance. Probationary employees
26		shall be laid off before permanent employees.
27		
28		3. Transfer Procedures
29		
30		A classified employee subject to layoff shall be eligible to apply, interview, and
31		be competitively selected for promotion or demotion to position vacancies
32		throughout the City prior to actual layoff.
33		throughout the City prior to actual layon.
34		4. Unemployment Compensation
35		
36		Employees who are laid off may be eligible for unemployment compensation.
37		
38	C.	Recall, Reinstatement and Reemployment Procedures
39	С.	
40		Classified employees, who are laid off, shall have preference for recall and
40		reinstatement in classifications from which they were initially laid off over
42		applicants on any eligibility list for a period of nine (9) months from the layoff
42 43		date. Reinstatement will be without loss of prior seniority, and the employee's
43 44		
44		extended sick leave account will be restored to the status prior to the layoff. If the
		27

- 1 layoff absence was in excess of thirty calendar days, City and classification 2 seniority dates shall be adjusted, upon reinstatement, to account for the time on 3 layoff status. 4 5 An employee reinstated to his prior classification within nine months from the 6 date of layoff, shall receive the same hourly pay rate earned at the time of layoff, 7 and any general wage increases applicable for his classification that were 8 implemented during the period he was laid off. 9
- 10An employee who has been laid off applies, and is competitively selected for full-11time City employment in any other classification prior to expiration of nine (9)12months from the date of layoff, shall be reinstated, resulting in the restoration of13prior City seniority, which shall be adjusted if the layoff absence is in excess of14thirty consecutive calendar days, and restoration of his extended illness leave15account balance.

An employee on layoff status who is not recalled and reinstated by the City within nine (9) months from the date of layoff, will be removed from the layoff list and will be ineligible for reinstatement of prior seniority or benefits in the event of reemployment by the City at a later date.

22 <u>5-16</u> Involuntary Reduction of Work Hours and Pay 23

All City employees may be subject to furlough due to a lack of funds to operate. Employees are strictly prohibited from performing any City work during the furlough period. This includes checking work-related e-mail and voice mail.

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30 <u>5-17</u> Resignation 31

Resignation is the voluntary separation of an employee from employment accomplished by submitting a written or verbal notice expressing a desire to end employment with the City. An employee who wishes to leave in good standing shall notify his immediate supervisor at least two (2) weeks before leaving. Notice of resignation given verbally or in writing shall be effective upon receipt, and cannot be rescinded without the approval of the Department Director. Failure to provide at least two (2) weeks' notice shall result in the forfeiture of any accrued annual leave.

39

40 <u>5-18</u> **Disability Separation** 41

42 An employee may be terminated for disability reasons when medical evidence indicates he is 43 unable to safely or effectively perform the essential functions, with or without reasonable 44 accommodation, of his position because of a physical or mental impairment. The City may 45 require a medical examination at its expense, to be performed by a physician of its choice. Such

1 an examination may include physical, emotional or mental evaluations. Separations for disability 2 under this section are not considered to be disciplinary terminations, and shall be in compliance 3 with the Americans With Disabilities Act and the City's Policy Regarding Accommodation of 4 Disabilities and Pregnancy.

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Requesting a Medical Evaluation A.

8 If, based on objective evidence, an employee is suspected of being medically impaired to the 9 extent that he cannot perform the essential functions of his job, with or without reasonable 10 accommodation, or to the extent that he creates a direct threat, the Department Director shall submit a written request to the Human Resources Director to schedule a medical examination to 11 12 evaluate the employee's fitness for duty. Such examination should attempt to establish the 13 cause, extent, and probable duration of the disability.

- 15 The request shall include the following:
 - A copy of the employee's job description. •
 - A detailed list of specific tasks that the employee performs along with the physical • activities required in his job.
 - The employee's attendance record for the prior eighteen (18) month period which shows • reasons for each absence from duty. When the employee has been absent for medical reasons, the specific type of medical problem should be identified, if known.
 - All information available to the department regarding the employee's medical problems or condition.
- 27 28

B.

29 30 Separation Procedures

31 Upon establishing the existence of medical factors indicating an employee is medically unable to 32 perform his job, the employee's Department Director should contact the Human Resources 33 Department for the purpose of coordinating and seeking assistance in making a reasonable effort 34 to locate suitable alternate employment elsewhere with the City, if appropriate.

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36 If the employee is medically unable to perform the essential functions of his job, with or without 37 reasonable accommodation, and there is no suitable alternate employment available for the 38 employee, the employee's Department Director may contact the Director of Human Resources 39 and the City Attorney for the purpose of initiating the termination of the employee.

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41 **Use of Electronic Equipment/Services** 5-19 42

43 Employees shall adhere to the City's policies concerning electronic communications (e.g., 44 computer systems, email, internet usage, mobile data, etc.) Transmission of any material in 45 violation of any federal, state or local law or regulation is prohibited. Further information on rules governing the use and content of electronic communications are set forth in the MIT
policies.

5-20 Return of City Property

At the time of separation, and prior to receiving final compensation, all records, books, identification badges, electronic equipment, uniforms, keys, tools, and other items of City property in an employee's custody, shall be returned to the department. Certification to this effect shall be documented by the employee's supervisor. Money or City property due to the City because of any shortages shall be collected through appropriate action approved in advance by the Human Resources Department.

13 <u>5-21</u> Loss of Driver's License

15 It is a policy requirement that an employee in a classification or position which requires the 16 operation of a motor vehicle possesses and maintain a valid motor vehicle operator's license.

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An employee required to maintain a valid driver's license who loses his driving privilege for whatever reason (e.g., suspension, expiration, physical loss of drivers license) shall immediately report such loss to his supervisor and shall not be permitted to operate a motor vehicle or motorized equipment on the job until his driving privilege is restored.

Upon timely notification by an employee that he has lost his driving privilege, his department
shall have the following options:

- 1. Make a reasonable effort to reassign him, on a temporary basis, to nondriving responsibilities, if available, for a period not to exceed forty-five (45) calendar days.
 - 2. Allow him to use any accrued annual leave during the forty-five (45) calendar day period while obtaining reinstatement of his driving privilege.
 - 3. Place him on a temporary leave of absence without pay not to exceed forty-five (45) calendar days.
- 35 36

Any exceptions to the above options require the approval of the Department Director and theHuman Resources Director.

39

An employee who fails to have his driving privilege reinstated on a permanent or temporary restricted business purposes only basis may apply and be competitively selected for any vacant City position for which he is qualified prior to expiration of the forty-five (45) calendar day grace period. If he is not selected for a non-driving position within this period, he may be terminated for failure to maintain necessary job qualifications required. This is normally considered a non-disciplinary termination of employment as it is not the policy or intent of the City to discipline an employee who loses his driving privilege due to non-job-related
 misconduct, unless the situation involves unusual circumstances.

5-22 Substance Abuse Policy

5 6 The City has a strict policy with regard to substance abuse, maintains a drug-free workplace, and, 7 as a recipient of federal grants, is required to abide by requirements of the Drug-Free Workplace 8 Act of 1988. Additional requirements applicable to employees who perform safety sensitive 9 duties are set forth in Appendices A and B.

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- 1. The unlawful manufacture, distribution, dispensation, possession, or use of any controlled 12 dangerous substance in the workplace is prohibited. Further, it shall be the duty of the 13 employee to report to his Director any criminal drug statute conviction for a violation 14 occurring in the workplace no later than five days after such conviction. An employees' 15 failure to comply with his obligations under this paragraph may result in disciplinary 16 action, up to and including termination.
- Substance abuse includes use of alcohol and employees are prohibited from consuming alcoholic beverages during work hours which shall include, but not be limited to, an employee's lunch period. No employee shall have in his possession any open alcoholic beverage in or on City property. Employees shall not be allowed to report to work if the odor of an alcoholic beverage can be detected.
- All persons hired for positions with the City of Annapolis are required to undergo a pre employment Drug and Alcohol Screening Test. A confirmed positive test result will
 result in the withdrawal of any offer of employment.
- 4. Current employees will be subject to Drug and Alcohol Screening test(s) if there is reasonable suspicion on the part of the employee's immediate supervisor and Department Director, or his designee, that the employee is under the influence, while on duty, of alcohol or illegal drugs, or other controlled substances not taken in accordance with a valid prescription
- 34 "Reasonable suspicion" means observable signs that indicate that an individual is using 35 or under the influence of illegal drugs, other controlled substances or alcohol. Some examples of observable signs are bloodshot eves, dilated pupils, slurred speech, lack of 36 37 coordination, the smell of alcohol about a person, radical mood shifts, possession of drug 38 paraphernalia and related behavioral patterns. In addition to the physical signs, a 39 supervisor may also observe and consider obvious work related performance problems 40 and pronounced changes in the employee's behavior and/or work habits. Reports of drug 41 or alcohol use or impairment coming from a coworker or a third party may also provide a 42 supervisor or Director with reasonable suspicion. 43
- If an employee tests positive for illegal drugs, controlled substances or alcohol and the
 test results are confirmed positive, the employee is subject to disciplinary action, up to

1 and including discharge. In the City's discretion, an employee may be referred to 2 counselors at external agencies for evaluation and, if necessary, referred to appropriate 3 outpatient or inpatient treatment facilities. It shall remain the policy of the City of 4 Annapolis to encourage rehabilitation and return to the work force in circumstances 5 where the City determines that such action is appropriate. 6

- 7 6. Counseling, referral and treatment programs are available to all City of Annapolis 8 employees through the Employee Assistance Plan Program and the relevant health plan. 9 An employee who self-reports drug and/or alcohol use/misuse or being under the 10 influence shall be referred to the City's Employee Assistance Program. No disciplinary action shall be taken against the employee for drug or alcohol use if subsequently the 11 12 employee successfully completes the rehabilitation program prescribed through the 13 Employee Assistance Program, except that Police Department employees are subject to 14 discipline, up to and including discharge, for the first offense of illegal substance or controlled substance use while on or off duty. However, the City can discipline 15 16 employees for other serious offenses that have been committed relating to actions caused while under the influence of alcohol or drugs. 17
- 19 7. Employees who have successfully completed rehabilitation and treatment and been 20 returned to full duties in the workplace, are expected to remain free of substance abuse. 21 Employees who violated this policy after returning from rehabilitation and treatment will 22 be subject to immediate discharge.
- 23
 - The City reserves the right to conduct random substance testing.
- 25 8. Failure to submit to the a Drug and Alcohol screening test when there is reasonable 26 suspicion that he is under the improper influence of alcohol, illegal drugs or other 27 controlled substances is grounds for disciplinary action against the employee, up to and 28 including termination of employment.

30 5-23 Confidentiality

32 Medical records and other documents related to City employees are confidential, unless otherwise 33 provided by law. Such records may only to be made available to the employee (or his/her legally 34 designated representative) or to the duly elected or appointed officials who supervise the work of the 35 employee; or to those involved in disciplinary proceedings, or in compliance with a summons from a 36 court or administrative agency.

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38 Disciplinary hearings, grievance hearings, screening committee meetings to review applicants for 39 employment or other meetings of a similar nature involving employee issues are not open to the general 40 public, unless otherwise provided by law.

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42 Certain City business matters may be designated as confidential by a Department Head, the Mayor, and/or 43 the City Manager. Such matters may not be discussed with or otherwise disclosed to any persons not 44 authorized to receive such information, including members of the public or the media.

- 45
- 46 Failure to follow this policy will result in disciplinary action, up to and including termination.

5-24 Dating/Personal Relationships Policy

An environment where employees maintain clear boundaries between personal and business interactions is most effective for conducting business. Although this policy does not prevent the development of friendships or consensual relationships between coworkers, it does establish clear boundaries as to how relationships will progress during working hours and within the working environment. Individuals in supervisory relationships or other influential roles are subject to more stringent requirements under this policy due to their status as role models, their access to sensitive information and the ability to influence others.

12 <u>Procedures</u>13

- 1. During working time and in working areas, all employees are expected to keep personal exchanges limited so that others are not distracted or offended by such exchanges and so that productivity is maintained.
 - 2. During non working time, such as lunches, breaks and before and after work periods, employees are not precluded from having appropriate personal conversations in non work areas as long as their conversations and behaviors could not reasonably be perceived as offensive or uncomfortable to a reasonable person.
 - 3. Employees are strictly prohibited from engaging in physical contact that would in any way be deemed inappropriate by a reasonable person while on City premises, whether during working hours or not.
 - 4. Employees who allow personal relationships with co-workers to affect the working environment will be subject to the appropriate provisions of the City disciplinary policy, which may range from counseling to more severe sanctions. Failure to change behaviors and maintain expected work responsibilities is viewed as a serious disciplinary matter.
 - 5. Employee off-duty conduct is generally regarded as private, as long as such conduct does not create problems within the workplace. An exception to this principle, however, is romantic or sexual relationships between supervisors and subordinates. There shall be no romantic or sexual relationships between supervisors and subordinates.
- Any City employee involved in a consensual personal relationship with another coworker must immediately disclose the relationship to the immediate supervisor, the
 Department Director, or the Director of Human Resources. This disclosure will
 enable the City to determine whether any conflict of interest exists because of the
 relative positions of the individuals involved. While both employees involved in a
 consensual relationship are individually responsible for disclosure, the failure of a
 supervisor, manager, executive or anyone else in a sensitive or influential position to

1 2 3 4 5 6 7 8		7.	disclose a personal relationship will be regarded as a serious lapse in his or her management of the workplace and grounds for appropriate disciplinary action, up to and including termination of employment. When problems or potential risks are identified the City will work with the parties involved to consider options for resolving the conflict. The initial solution will be to make sure that the parties involved no longer work together on matters where one is able to influence the other or take any personal action related to the other.
9 10		8.	If an employee, whether or not he or she is involved in the relationship, believes that he or she has been or is being adversely affected by a personal relationship among co- workers, he or she is another the approximately report the approximation of the property of the
11 12 13			workers, he or she is encouraged to promptly report the concern to an immediate supervisor or to the Human Resources Department.
14 15 16 17		9.	Any supervisor who receives a report of a personal relationship must immediately act in accordance with this policy. Failure to do so may lead to disciplinary action, up to and including termination.
17 18 19 20		10.	Individuals who have questions or are uncertain as to the application of this policy should speak to the Human Resources Department.
21	<u>5-25</u>	Leg	gal Proceedings
22 23 24 25 26 27 28		1.	From time to time, an employee, especially one in a supervisory and/or managerial position, may be requested or subpoenaed to make a statement to an attorney or law firm. If an employee receives either a request to make a statement or be subpoenaed, he shall inform his department director and the City Attorney within one business day.
29 30 31 32 33 34 35		2.	If an employee is served with a civil summons, complaint, or other notice naming him as a defendant or potential defendant in an action resulting from his duties as a representative of the City, he should immediately inform his Department Director and the City Attorney and provide them with a copy of the summons, complaint or notice within one business day. The employee shall also state whether or not he requests and authorizes the City Attorney to represent him in the matter.
36 37 38 39 40 41 42 43 44		3.	In the event an employee is subpoenaed or is directed by management to appear/testify at administrative hearings or court proceedings, including but not limited to grievance, Civil Service Board, arbitration, deposition and other hearings, he shall be paid for all hours required for his appearance, including off-duty hours. An eligible employee who is subpoenaed or directed by management to appear, and does appear, while on annual leave, shall have his annual leave hours restored if satisfactory evidence of the time served in court/administrative hearing is presented to his department.
44 45		4.	An employee who appears at any administrative hearings or court proceedings,

1		including but not limited to grievance, Civil Service Board, arbitration, deposition
2		and other hearings on behalf of a grievant or other party adverse to the City shall be
3		ineligible for pay by the City for any time spent at such proceeding. An employee
4		may utilize accrued annual leave or leave without pay for such appearances.
5		
6	5.	In the event an employee is subpoenaed in a non-City proceeding, or if he voluntarily
7		appears/testifies in a legal proceeding, he may use accrued annual leave, or leave
8		without pay, for any time-spent at such proceedings.
9		
10	6.	An eligible employee shall retain any subpoena/witness fee received if he is
11		subpoenaed to appear/testify for an administrative, deposition or court hearing and is
12		not paid by the City for the total hours of his appearance.
13		
14	7.	Pay and benefits for Police Department employees who are required, through a
15		subpoena or directed by management, to appear at an administrative hearing shall be
16		governed by Police General Orders and applicable labor agreements.
17		
18	8.	An employee required to appear for a deposition, administrative hearing, or a court
19		proceeding shall promptly notify his immediate supervisor with such documentation
20		as necessary so that arrangements can be made for his absence from work.
21		
22	9.	Time spent in administrative hearings or court proceedings is the actual time
23		required to report as stated on the subpoena or as scheduled, continuing until
24		released by the judge or other hearing officer. An employee who appears for only a
25		portion of a regular scheduled workday shall report to his supervisor or department
26		for work when excused or released by the court or hearing officer.
27		
28	10.	An employee who becomes a plaintiff or defendant in a legal action not related to the
29		performance of his official duties shall not be eligible for pay under the provisions of
30		this Section.
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32	<u>5-26</u> City	Closings
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34		f Annapolis provides essential services to the public and it is critical that departments
35		ies are open for business as scheduled. During severe weather events, or other
36		emergencies, you have a continuing obligation to report for work as normally
37	scheduled	and at other times as required by your supervisor. If operating conditions require that
20	vou ron ort	to ment you are anneated to do as

- 38 you report to work, you are expected to do so.
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At times, adverse travel conditions resulting from a severe storm may compromise employee
safety. Whenever severe weather prompts state and local officials to issue traveler's advisories in
the Annapolis region, the Mayor will determine the operational status of City Government as
follows:

Delayed Opening – Non-essential employees will be granted administrative leave for the hours of the delay. However, if an essential employee does not show up for work, he/she may be subject to disciplinary action for failing to report.

4 5

6 **Open - all personnel required to report as scheduled.**

Employees failing to report to work shall be charged annual leave, personal leave or leave
without pay for the entire day as appropriate without the normal prior arrangements with their
supervisor. However, this requires the concurrence of their supervisor.

10 Employees who report to work late shall be charged annual leave, personal leave or leave 11 without pay for the hours not worked.

12

Open, with liberal leave in effect for non-essential City employees.

Liberal leave allows non-essential employees to use personal or annual leave if storms, severe weather conditions and/or unforeseen designated emergencies prevent them from reporting to work on time or not at all. However, employees must report their tardiness or absence to their respective supervisor.

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20 Closed – if or when the Mayor closes business due to inclement weather or other designated
 21 emergency:

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- Non-essential employees will be granted administrative leave for the hours of the closing.
- Nonexempt, full-time essential employees who do not report for work shall be charged annual leave, personal leave or leave without pay for the time not worked and may be subject to disciplinary action for failing to report to work.
- Nonexempt, full-time essential employees, who are required to report to work, or at work
 when the Government closes, will not receive additional compensation for hours worked
 during closure.
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Some full-time nonexempt, nonessential employees who, are not generally required to respond to emergencies may be designated as essential employees for a period of time by their Department Director and are required to respond. However, employees will not receive additional compensation for hours worked during closure.

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Television, radio stations and/or supervisor's notification will be used to disseminate weather related announcements as well as the City's website.

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39 <u>5-27</u> Driving Required Positions

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41 "Driving Required Positions" are positions whose duties require: (1) the operation of a motor 42 vehicle, or the operation of any vehicle which requires a commercial designation or special class 43 of license, or the operation of a personnel carrier; and (2) whose minimum qualifications require 44 a valid driver's license of the proper class and/or commercial designation.

- A City Driver in a Driving Required Position, whose driver's license is limited, non-renewed, revoked or suspended for thirty (30) days or more, shall either be transferred to a currently vacant position that is not a Driving Required Position or terminated for just cause, as determined to be in the best interest of the City.
- 6

A City Driver in a Driving Required Position, whose driver's license is suspended for less than
thirty (30) days, either shall be assigned duties that do not include operation of a vehicle, if such
assignment is in the best interest of the City, or otherwise shall be suspended for the duration of
the City Driver's license suspension.

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12 City Drivers in Driving Required Positions, who as a result of driving while intoxicated, driving 13 under the influence, reckless driving, leaving the scene of an accident and/or speeding, together 14 or separately, and who accumulate one (1) point shall be disciplined with a verbal warning; two 15 (2) points shall be disciplined with a written warning; three (3) points shall be disciplined with a 16 one (1) day suspension; four (4) points shall be disciplined with a three (3) day suspension; and 17 five (5) points shall be disciplined by the City with a five (5) day suspension. If the City Driver's accumulation of points reaches six (6) or more points, that City Driver may either be 18 19 demoted to a currently vacant non-driving position or terminated, at the discretion of the 20 Department director.

21

City Drivers with three (3) or more at-fault accidents shall be disciplined with a five-day (5)
 suspension, and may also either be demoted to a currently vacant position or terminated at the
 discretion of the Department director.

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In any of these instances of discipline, except for the verbal warning, the City Driver shall receive written notification (with a copy to the personnel file) not to drive any vehicle in the conduct of City business.

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30 <u>5-28</u> Non-Driving Required Positions 31

An employee who is not a City Driver or otherwise generally required to drive for City Business,
 but whose driver's license is limited, non-renewed, revoked or suspended, shall not be permitted
 to operate any vehicle including his or her own for the purpose of conducting any City Business.

A City Driver who is NOT in a Driving Required Position, who as a result of driving intoxicated, driving under the influence, reckless driving, leaving the scene of an accident and/or speeding, together or separately, accumulates three (3) to five (5) points shall receive notice of warning from his or her Department supervisor. If the City Driver's accumulation of points reaches six (6) or more, that City Driver shall receive written notification (with a copy to the personnel file) not to drive any vehicle in the conduct of City Business until all applicable violations and/or excessive points are removed from his or her record in a manner satisfactory to the City.

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4	SECTION 6: Employee Benefit Programs
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33 34	SECTION 6: Employee Benefit Programs
34 35	SECTION 0. Employee Denent i rograms

The City of Annapolis offers its employees a comprehensive Benefits Program, including paid leave, holidays, health insurance, life insurance and retirement plans. These Benefit Programs may change over time due to plan design changes, budget considerations or other factors. Every effort will be made to keep this Section current. However, for a full and complete up-to-date list of benefits, please visit the employee Benefit Center website (http://annapolis.ubaebc.com) or contact the Human Resources Department (410-263-7998).

7

8 Family and Medical Leave

9 Eligible employees are entitled to Family and Medical Leave under the federal Family and
10 Medical Leave Act ("FMLA"). FMLA requires employers to give employees up to 12 work
11 weeks of unpaid leave for:

- 12 1. Birth of a child, and to care for the newborn child;
- 13 2. Placement with the employee of a child for adoption or foster care;
- 14 3. Care for the employee's spouse, child or parent with a serious health condition;
- 154.The employee's own serious health condition that renders the employee unable to16perform one or more essential functions of the job; or
- Non-medical exigencies arising out of the fact that the employee's spouse, son,
 daughter, or parent is on active duty or on call to active duty status ("Qualifying Exigency Leave").

The term "child" includes biological, adopted, foster, stepchild or legal ward. The term "parent"
does not include parent "in law."

22 Qualifying Exigency Leave- family members of covered service members called to active duty 23 may take leave for one or more of the following qualifying exigencies: (1) to address any issues 24 which arise from the member learning of a call or order to duty seven or less calendar days prior 25 to deployment; (2) to attend military events or sponsored family support programs; (3) to arrange 26 for alternative childcare or school attendance, attend childcare or school meetings, or provide 27 childcare on an urgent immediate need basis when necessitated by the call to duty; (4) to make or 28 update financial and legal arrangements to address the military member's absence, or to serve as 29 the military member's representative in obtaining, arranging or appealing military service benefits; (5) to attend counseling (not provided by a health care provider) for oneself, the 30 31 military member, or child of the military member; (6) to spend time (up to 5 days of leave for 32 each instance) with a military member on temporary rest and recuperation leave; (7) to attend 33 post-deployment activities, and (8) any other events which employer and employee agree arise 34 out of the military member's call to duty, qualify as an exigency, and agree as to the timing and duration 35 of leave.

Additionally, an eligible employee may take up to twenty six (26) workweeks of leave during a 1 2 single 12-month period to care for a "covered service member" with a serious injury or illness 3 incurred in the line of duty who is the spouse, son, daughter, parent, or kin to the employee (military caregiver leave). A "covered service member" is defined as a member of the armed 4 5 forces (including a member of the National Guard or reserves) (a) who is on the temporary disability retired list; (b) who is undergoing medical treatment, recuperation, or therapy for a 6 7 serious illness or injury; (c) who is assigned to a military medical treatment facility as an 8 outpatient or is otherwise receiving outpatient care at a unit established for members of the 9 armed forces; or (d) who is a veteran released or discharged (for any reason other than 10 dishonorable) during the five year period prior to the first date of leave and is undergoing medical treatment, recuperation, or therapy for a serious injury or illness. 11

Amount of Leave – An employee approved for FMLA leave will be eligible to receive up to 12 workweeks of job-protected leave in a rolling 12-month period to manage a FMLA-qualifying event (employees are eligible for up to 26 workweeks of leave for covered service member leave). Spouses working for the City are both eligible for FMLA leave. However, the aggregate leave period for both employees will be limited to 12 workweeks during any 12-month period if the leave is for birth of the employee's child, the adoption or placement of a foster child with the employee, or to attend to a sick parent.

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Use of Accrued Leave – Family and Medical leave is unpaid. However, if leave is taken because of the birth, adoption or foster care placement of a child, any accrued annual and personal leave must be used before taking unpaid leave. If leave is taken because of an employee's or family member's serious health condition, any accrued annual, personal, or sick leave must be used before taking unpaid leave. After accrued leave has been exhausted, the remainder of the FMLA leave will be unpaid.

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Benefits While on FMLA Leave – An employee will be able to continue his health benefits
 during the period of leave under the same conditions applicable to active employees. The
 employee will be required to continue his contribution for each pay period.

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Fitness-For-Duty Certification – The City may require that the certification specifically address the employee's ability to perform the essential functions of their job; and, where reasonable job safety concerns exist, may require a fitness-for-duty certification before an employee returns to work after taking intermittent leave.

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Return from FMLA Leave - Provided an employee returns as scheduled from FMLA leave, they are granted service credit for the period of their leave. If they are qualified and available to return to work, they will be reinstated to their same position and salary in effect at the beginning of their leave (plus the benefit of any applicable salary adjustment that may have occurred during their absence), or to a position of like status and pay, unless circumstances have changed so as to make it impossible or unreasonable to do so. If employee fails to return as scheduled, they will be terminated effective their last day covered by FMLA.

Outside Employment During FMLA Leave – Employees on FMLA leave for their own
 serious medical condition (paid and/or unpaid) are restricted from secondary employment
 including volunteer work.

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5 For additional details and instructions on how to apply for FMLA, please visit the Employee 6 Benefit Center website or contact the Human Resources Department.

8 <u>6-1</u> Paid Holidays

10 The City observes the following eleven (11) paid holidays:

12	News Year's Day	January 1
13	Martin Luther King's Birthday	Third Monday of January
14	Presidents' Day	Third Monday of February
15	Maryland Day	March 25
16	Good Friday	Friday Preceding Easter
17	Memorial Day	Last Monday in May
18	Independence Day	July 4
19	Labor Day	First Monday in September
20	Veterans Day	November 11
21	Thanksgiving Day	Fourth Thursday in November
22	Christmas Day	December 25

23

The Mayor has the authority to designate paid holidays. The Human Resources Departmentdistributes a list of designated holidays for the coming year.

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The Mayor or his designee will determine when any departmental operations will be closed in observance of a holiday. Operations permitting, employees will be granted time off on holidays.

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If an observed holiday occurs on a Saturday, the City will designate the preceding Friday as the
 official holiday. If an observed holiday occurs on a Sunday, the City will designate the
 following Monday as the official holiday.

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35 If an eligible full-time classified employee is granted a day off to observe a holiday but is 36 required to work part of the day due to operational requirements, he shall be paid for his normal 37 schedule of hours as holiday pay for that day plus the time actually worked.

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39 An eligible full-time employee who has requested annual leave, jury duty, sick leave, funeral

leave, or military leave will receive holiday pay in lieu of the requested type of leave.

In order to qualify for holiday pay, an employee must be on active pay status or work his full
normal schedule of hours, either on the regularly scheduled work day immediately prior to a
holiday or his regularly scheduled work day immediately following a holiday.

6-2 Scheduled and Unscheduled Leave From Work

A scheduled leave is a leave from work which is planned by an employee and approved by his
department supervisor. To be considered "scheduled" leave, a minimum of twenty-four (24)
hours advance notice must be given.

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An **unscheduled leave** is a leave from work in which less that twenty-four 24 hours notice is given. Unscheduled leave generally causes more operational problems due to insufficient notice of less than 24 hours to allow the department to properly manage work operations. A department supervisor may deny a request for unscheduled leave, unless granting the leave is required by applicable law.

19 <u>6-3</u> **Personal Leave** 20

Eligible employees receive up to three (3) personal leave days per year. When using personal leave an employee will make every effort to give his department supervisor as much notice as possible to ensure smooth operations of City services for the public. Personal leave may not be carried over to the next calendar year. Public Safety employees may receive a different amount/type of leave and should check with their department supervisor.

27 <u>6-4</u> Annual Leave

A. Purpose of Annual Leave

The purpose of annual leave is to provide eligible employees with an opportunity to have
scheduled leave from work without loss of pay or benefits.

B. Annual Leave Accrual Rate and Maximum Accrual

Annual leave is accrued based on the number of regularly scheduled hours an employee remains
on active pay status and his length of service. Annual leaves accrues on a per pay period basis,
in accordance with the schedule set forth below.

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41 42	Civil Service:	Years of Service	Days of Annual Leave
43		1 to 2	15 days
44 45		3 to 9 10 or more	18 days 21 days

<u>Police Department</u> (Sworn Personnel Only):

Refer to Union Collective Bargaining Agreement

<u>Fire Department</u> (Sworn Personnel Only):

Refer to Union Collective Bargaining Agreement

9 At the end of the calendar year for the year in which an employee has attained full-time status in 10 a classified position, a maximum of five (5) days of annual leave is permitted to be carried over 11 into the next calendar year. An additional 5 annual leave days will be permitted for carry-over 12 each year thereafter, up to a maximum of 30 days.

	End of Calendar Year (December 31)	Maximum Days of Annual Leave Eligible for Carryover
	First	5 days
,	Second	10 days
	Third	15 days
)	Fourth	20 days
)	Fifth	25 days
	Sixth	30 days

Example: An employee is hired to fill a full time classified position on August 3, 2011. At the end of the calendar year 2011, he may carry over five (5) days of annual leave into the year 2012. At the end of calendar year 2012, he may carry over ten (10) days into 2013. At the end of calendar year 2013, he may carry over fifteen (15) days into calendar year 2014. This annual leave carryover pattern continues until the maximum of 30 days of carryover is reached.

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C. General Provisions

Annual leave may be used in increments of a quarter (1/4) of an hour. A request for annual leave shall be made at least twenty-four hours prior to the first day of leave. The department supervisor may waive this requirement in cases of emergency.

Use of paid annual leave is not permitted during the initial six (6) months of employment or reemployment except for absences of documented medical reasons or as specified in a union collective bargaining agreement or employment contract. Paid annual leave will not be approved for hours not yet accrued by the employee.

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The scheduling and use of paid annual leave shall be approved in advance in accordance with
 departmental procedures. Factors and criteria to be considered in approving annual leave
 requests may vary based upon departmental requirements.

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The nature of an employee's job and operational requirements may cause the department to limit the scheduling of leave during certain periods of the year. Based upon these requirements, the department may require the use of annual leave for vacation purposes in amounts of thirty-five
(35) or more consecutive hours. In the event that such limitations apply, the Department
Director must identify in writing these limitations to employees.

- 5 An employee who voluntarily terminates employment with the City must provide at least two (2) 6 weeks advance notice to be entitled to be paid after termination for his accrued but unused 7 annual leave.
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6-5 Longevity Leave

A. Longevity leave is provided annually to an eligible employee in a lump sum on his anniversary date and must be used within one year.

14			Years of Service	Days of Longevity Leave
15				
16			20 to 24	1
17			25 to 29	2
18			30 or more	3
19				
20	<u>6-6</u>	Sick	Leave	
21				
22		A.	Purpose of Sick Leave	
23				
24				eligible employee with basic salary continuation
25	-		5 5	ave may not be donated and will not be paid at
26	termir	nation of	of employment.	
27				
28		B.	Sick Leave Accrual Rate and	id Eligibility
29				
30				sick days per year. These days are pro-rated depending
31				v employee may use his accrued sick leave after six (6)
32			e	ployment. Sick leave shall accrue on a per-pay-period
33	basis a	at the ra	ate of one and one-quarter wo	rking days per month.
34	a			
35			2	a quarter $(1/4)$ of an hour. Paid sick leave will not be
36	appro	ved for	hours not yet accrued by the	employee
37				
38				is for accrued sick leave. At retirement, an employee's
39				le for additional service credit towards retirement.
40		,		used as service time to enable an employee who has
41	otherv	vise fai	led to meet length of service	requirements to become eligible to retire.
42		G		
43		C.	Notification Procedures	
44		1	1 . 1. 11	
45	An en	nployee	e who is medically incapacita	ted to the extent that he is unable to work must notify

his immediate supervisor before his scheduled reporting time and must inform him of the expected length of the absence, unless a medical emergency precludes the employee from giving advance notice of the leave. This notification procedure must be followed for each day he is unable to work, unless specific prior approval waiving this requirement is granted by the Department Director or his designee.

6

An employee who uses excessive sick leave may, at the Departmental Director's discretion, be required to document future absences for medical reasons with a physician's statement prior to being permitted to use paid sick leave. An employee who fails to provide written notice of his absence shall not receive paid leave for the day(s) in question. Use of sick leave will not relieve an employee of his attendance obligations and will not excuse excessive absenteeism.

12

13 Departmental management may send an employee home who is injured or too ill to work 14 effectively, or who would cause unhealthy or unsafe working conditions if he continued to work. 15 Such directed absences are to be considered unscheduled, and shall be accounted for by hours 16 from the employee's leave account, if leave is available.

17

Unusual circumstances may prevent an employee from personally notifying his department of an absence for reasons of illness or injury, in which case notification may be made by another person. If an employee is not able to make alternative arrangements to notify the department of his absence, and can substantiate valid reasons for his failure to report an absence, sick leave may be authorized by his Department Director.

D. General Provisions

Paid sick leave will not be advanced to an employee before it is accrued. An employee, who requests sick leave for an absence over three (3) days, will be required to submit a physician's statement verifying that the employee is medically incapacitated in order to be eligible for paid sick leave. An employee who fails to provide written documentation will not receive paid sick leave for the day(s) in question.

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33 An employee returning to work after an illness or injury of more than three (3) consecutive 34 workdays may be required, at the department supervisor's discretion, to provide written 35 authorization from his physician certifying his medical fitness to return to work. In cases where a physician's certification is required, the department shall reserve the right to require an employee 36 37 to be examined by a physician designated by the City prior to authorizing his return to work. In 38 such cases, an appointment for an examination will be scheduled by the Human Resources 39 Department and paid for by the City. The department supervisor will provide the Human 40 Resources Department with:

41 42

- A copy of the employee's job description.
- A detailed list of specific tasks that the employee performs along with the physical activities required in his job.

- The employee's attendance record for the prior eighteen (18) month period which shows reasons for each absence from duty. When the employee has been absent for medical reasons, the specific type of medical problem should be identified, if known.
 - All information available to the department regarding the employee's medical problems or condition.

9 An employee who is granted sick leave is expected to follow all medical direction/advice and exercise due care in facilitating his recovery. Sick leave is to be used for periods of illness to 10 stay home and address health and safety needs. Activities such as secondary employment, travel 11 12 and recreational activities are prohibited while an employee is receiving paid sick leave.

- 14 When a full-time employee is transferred to part-time status, his sick leave account balance will 15 be forfeited.
- 17 The Maryland Flexible Leave Act (MFLA): employees who have accrued leave with pay may 18 use such leave for the illness of their child, parent, or spouse. Employees who earn more than 19 one type of paid leave may elect the type and amount of leave to use.
- 20

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- 21 Leave includes sick leave, vacation time, and compensatory time. Leave with pay does not 22 include an insurance benefit, Workers' Compensation, unemployment compensation, a disability 23 benefit, or a similar benefit.
- 24

25 A parent is defined as an adoptive, biological, or foster parent; stepparent; legal guardian; or someone standing in *loco parentis*. A child is defined as an adopted, biological, or foster child; 26 27 stepchild; or legal ward who is under age 18 or incapable of self-care due to a mental or physical 28 disability. 29

E. Donation of Annual Leave to Another City Employee

32 Under special circumstances, the Department Director and Human Resources Director may 33 approve the donation of annual leave to another City employee's sick leave balance on a straight 34 hour-for- hour basis.

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- F. Modified Duty
- 36 37

38 Some minor injuries or illnesses may prohibit the full performance of assigned job duties; 39 however, there may be other duties an employee could safely perform without aggravating his 40 medical condition. When the physician states in writing that "modified duty" work is acceptable 41 and identifies the employee's specific physical limitations, the Department Director may, at his 42 discretion, assign other appropriate tasks and duties as the employee's health and medical 43 condition may permit. Modified-duty assignments in compliance with medically established 44 restrictions shall be performed by an employee so assigned. A physician's written recommendation for an employee's return to work on a "modified-duty" basis will be considered 45

- 1 by the department on the following basis: 2 3 Suitable "modified-duty" work must be available within any department in the City. 4 5 The physician recommending an employee's return to work on modified-duty status must • 6 provide reasonable assurance that the condition will not exceed thirty (30) calendar days. 7 8 • Extension of modified-duty status beyond thirty (30) calendar days requires the approval 9 of the employee's Department Director. 10 11 • Extension of modified-duty status beyond ninety (90) calendar days requires the approval 12 of the Human Resources Director. 13 14 6-7 **Accident Prevention and Safety** 15 16 G. On-the-Job Injuries 17 18 An employee shall be advised of his responsibility to immediately report to his supervisor all 19 injuries sustained on the job. 20 21 A Report of Injury and an Employers First Report of Injury/Illness form should be submitted to 22 the Supervisor within twenty-four (24) hours after the occurrence of the injury. Failure to report 23 an injury immediately may result in delays in authorization for medical treatment and lost wages. 24 If the injury occurs over a holiday or weekend, the injury reports should be submitted within 25 twenty-four (24) hours from the time the work period starts after the weekend or holiday. This 26 applies to all on the-job injuries, as well as any employee injured in a vehicular accident 27 involving City equipment. In the latter case, a Motor Vehicle Accident Report will also be 28 required. Fatal injuries to an employee while at work shall be immediately reported to the 29 Human Resources Director. As required by law, the Human Resources Director shall report the 30 fatality within eight (8) hours to MOSH and within twenty-four (24) hours to the Maryland 31 Workers' Compensation Commission. 32 33 An employee who sustains a work-related injury is encouraged to seek medical treatment if 34 necessary. For any on-the-job injury requiring medical attention, the employee shall deliver to 35 his supervisor the Treating Physician's medical status note (or the City's Duty Status form). If 36 the employee is unable to bring the medical note on the same day as the medical appointment,
- 37 the employee must immediately call his supervisor to update him on his return-to-work status, 38 and shall do so for all future medical appointments related to the on-the-job injury. In no case 39 shall an employee be allowed to return to work until the Treating Physician has released the 40 employee to modified or full duty.
- 41

42 Workers Compensation Benefit for Injured Employees

43

44 An employee whose compensable on-the-job injury results in a disability will be governed by 45 Maryland Workers' Compensation law. Full wages will be paid for the complete shift on the day 1 of the compensable on-the-job injury or for that part of the day spent receiving medical 2 treatment.

3

4 Maryland Workers' Compensation law does not allow for payment to the injured employee 5 during the first three (3) calendar days of disability. Employees may use available leave during 6 the first three (3) calendar days. If the disability results in more than fourteen (14) calendar days 7 away from work, payment shall be made by the City's third party administrator for the first three 8 (3) calendar days of disability.

9

Employees may begin receiving lost wage payments starting on the fourth day after the compensable on-the-job injury. Workers compensation payment is tax-free to the injured employee. The employee's weekly wage is based on the average of his salary for the fourteen (14) week period prior to the date of injury.

14

15 If the employee is restricted by his Treating Physician from returning to work for more than three (3) days due to the compensable on-the-job injury, the employee will be placed on both Workers' Compensation leave and Family and Medical Leave. All questions regarding payment of medical bills or lost wages should be directed to the City's Third Party Administrator or to Risk Management.

20

21 If the injury is not accepted as a compensable on-the-job injury by the Third Party Administrator,

- 22 the employee may use his sick or other available leave for time lost from work.
- 23

An employee on leave due to a compensable on-the-job injury is expected to follow all medical direction/advice and exercise due care in facilitating his recovery. The injured employee is expected to stay home and address health and safety needs. Activities such as secondary employment, travel and recreational activities are prohibited while on leave for a compensable on-the-job injury.

29

In order to be paid wages for the time away from work, the employee is required to promptly submit to his department supervisor updated medical status notes from his doctor. Doctor notes that are back-dated will not be accepted for wage reimbursement. Any delay in submitting these doctor notes will delay wage payments to the employee.

34

35 Return to Work and Modified Duty

36

An employee who is released by his physician to return to work, but with restrictions, may be placed in a modified-duty position. Modified duty is customized to align with the employee's restrictions. This position may be in the employee's current department or another City department. A modified-duty position is available on a short-term basis and is meant to be a transition back to the employee's original position.

42

43 If an employee has been released to work either modified duty or full duty, he is expected to 44 schedule appointments for physician visits or physical therapy during non-work hours. An 45 employee may use his available leave for these appointments, but this leave will not be 1 reimbursed under the Workers' Compensation program.

If the employee has not returned to work by the time FMLA leave is exhausted or has
been on modified duty for 30 days and is not able to return to full duty, the case will be reviewed
by the Department Director, Human Resource Director, City Attorney and the Risk Analyst. This
review will include, but is not limited to, the Treating Physician's and/or Independent Medical
Examiner's prognosis for recovery and any information regarding the likelihood that the
employee can return to his pre-injury job duties within a reasonable timeframe.

9 10

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If an additional review is necessary, the review date will be determined during the initial review.

12 Once all reviews are completed, the reviewers will determine whether the employee's position 13 will be held for a limited additional time, or if the employee should be separated from 14 employment. The employee will be promptly notified of such determination.

15

Prior to termination, the Human Resources Director will make all reasonable efforts to find a
vacant City position for which the employee is qualified.

19 <u>6-8</u> Military Leave

The City will comply with the Uniformed Services Employment & Reemployment Rights
 Act (USERRA) and Maryland law for matters concerning military leave.

A. Annual Military Leave

An employee who is ordered to active or inactive duty training shall be entitled to military leave. An employee shall be required to submit an order or statement from the appropriate military commander as evidence of any such duty. Such order or statement must accompany the formal request for military leave at least two (2) weeks in advance.

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B. Inactive Duty Training (Weekend Drills)

33 An employee who is a member of the Armed Forces Reserve or the National Guard shall be 34 excused from work to attend inactive duty training as required. The employee shall provide to 35 the department supervisor evidence of membership in the applicable organization and training orders. The submission of the applicable Reserve or National Guard training schedule will 36 satisfy this requirement. In the event scheduled inactive duty training falls on an employee's duty 37 38 day(s), he shall be paid for time missed from work, up to a maximum of 15 days per calendar 39 year, unless otherwise required by law. Actual payment will be the amount of the employee's 40 regular base salary for work time missed (up to 120 hours), less the gross military earnings. To 41 ensure prompt payment for the leave, the employee must submit appropriate documentation on the military leave and earnings statement to Human Resources within thirty (30) days of the 42 43 leave.

44

45 C. Recall to Active Military Duty

2 A full-time employee, who is a member of a military reserve component or the National Guard, 3 who is ordered to active duty to fulfill his primary military obligation, will be granted military 4 leave of absence without pay for this period of time unless otherwise required by law. 5

- D.
 - Recall to Emergency Active Military Duty

8 Employees responding to emergency military orders shall be granted leave without pay for 9 required absences as necessary unless otherwise required by law.

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E. **Reinstatement from Military Service**

13 Upon termination from active military service, an employee who wishes to return to City 14 employment shall contact the Human Resources Director in writing according to the following 15 guidelines from date of discharge: 16

17 1-30 days of service The next scheduled workday after safe travel and 8 hours rest; 18

19 31-180 service days Within 14 days after completion of service; 20

21 More than 180 service days Within 90 days after completion of service. 22

23 An employee shall not be considered eligible for reinstatement by the City if he received a 24 dishonorable military discharge. An employee requesting reinstatement with the City shall 25 submit to a medical examination, at City expense, to determine if he is physically and mentally 26 capable of performing the duties of his former position prior to assuming his position. The 27 Department Director cannot reinstate an employee until the Human Resources Director confirms 28 the employee has received medical clearance to perform the duties of his position.

29

30 An employee returning to City employment in his previous classified position shall be reinstated 31 at the salary he would have received, including all general wage adjustments, had he remained 32 continuously employed by the City instead of entering the armed service.

33

34 If the position vacated by an employee who entered the military service is reclassified or retitled 35 during his period of military service, he shall be reinstated where possible in accordance with USERRA. If his former position has been abolished, or if he is incapable of satisfactorily 36 37 performing the duties, he shall be reinstated in a position as nearly comparable as possible in 38 salary and duties to the position he vacated, provided a vacancy is available.

39

40 6 - 9 **Funeral Leave**

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42 Upon approval by the department supervisor, an eligible full-time employee will be granted up to 43 three (3) consecutively scheduled workdays as time off with pay for the funeral of an immediate 44 family member. Funeral leave shall not be charged to annual or sick leave.

An immediate family member includes the following: spouse, son, daughter, brother, sister,
 mother, father, grandmother, grandfather, grandchild, legal guardian, stepmother, stepfather,
 stepson, stepdaughter, father-in-law, mother-in-law, son-in-law, and daughter-in-law.

4

5 Should an employee require additional time beyond the three (3) days noted above, he may 6 request additional time from the department supervisor. Upon approval, any additional time used 7 may be taken from the employee's accrued annual leave or personal leave, or as leave without 8 pay.

9

An employee may be required to provide the department supervisor with proof of death and/or
 proof of relationship before funeral leave pay is approved.

- 13 **6-10** Jury Duty
- 14

An eligible full-time employee shall suffer no loss of his normal pay for time served on jury 15 16 duty. An employee subpoenaed or summoned for jury duty during working hours shall receive straight time pay for the hours he is required to be absent from his currently scheduled work 17 18 hours. In addition, he shall retain any jury allowance provided by the court. Jury duty leave is 19 the actual time required to report as scheduled in writing until released by the judge or other 20 officer of the court. An employee who performs jury duty for only a portion of his regular 21 scheduled workday shall report to work for the duration of his shift when excused or released by 22 the court.

23

An employee called for jury duty shall promptly notify his immediate supervisor and provide a copy of the court summons so that arrangements may be made in advance for his absence from work.

27

An employee called for jury duty while on scheduled annual leave shall be allowed jury duty pay for that time served in court which corresponds to his regular workday. Such employee shall have his annual leave hours restored provided satisfactory evidence of the time served on jury duty is presented to the department.

32

In the event a holiday occurs during the period an employee is serving on jury duty, he shallreceive holiday leave for the holiday rather than jury duty leave.

35

An employee shall provide the department with proof of jury duty service before compensationis approved.

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39 <u>6-12</u> Leave of Absence Without Pay

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A. Voluntary

43 Under certain circumstances, the Department Director may grant a request for a leave of absence
44 without pay, not to exceed one (1) year. The Department Director must notify the Human
45 Resources Director in writing as soon as the leave of absence without pay is approved.

1

2 While on a leave of absence without pay, annual leave and sick leave will not accrue and the 3 employee will not be entitled to receive personal or longevity leave days. While on a leave of 4 absence without pay the employee will not be able to use paid leave of any kind. The employee 5 will be responsible for paying the total monthly premium (employee's and employer's cost) for 6 his medical, dental, vision, and prescription drug coverage. All other benefits (including basic 7 and voluntary life insurance, accidental death & dismemberment insurance, short-term disability, 8 long-term disability, health care flexible spending account, dependent care flexible spending 9 account and legal services) will end on the last day that the employee works.

10

At the termination of the leave of absence without pay, the employee shall be reinstated in the civil service system with all previous rights and privileges the employee had earned as of the last day of paid employment. The employee will be subject to any waiting periods required to reenroll in benefit plans that he ceased to partake in while on the leave of absence, The employee should contact the Human Resources Department immediately upon his return to work to discuss the reinstatement of benefits.

B. Involuntary

A civil service employee who, by reason of illness or disability, is required to be placed on leave of absence without pay, shall not be entitled to accrue either annual leave or sick leave as long as the employee remains on leave of absence without pay.

23

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An employee on a leave of absence without pay for reasons covered by the FMLA is entitled to continue to participate in the City's group health plan under the same terms as if he were on paid leave. This means the employee is required to pay his share of the health insurance premium, and the City will pay the employer's share of the premium until the employee has exhausted all FMLA leave.

30 <u>6-14</u> Tuition Assistance Program

The City encourages employee self improvement. The Tuition Assistance Program is available subject to funding to all eligible full-time employees to help reimburse them for a portion of tuition expenses for pre-approved educational courses. Approved courses must relate to the employee's current job or a reasonable promotional objective. An employee may receive up to 70% of direct tuition costs for each pre-approved course in which they receive a grade of "C" or better, or "Pass" if a Pass/Fail system is used.

38

39 <u>6-15</u> Longevity Pay Program

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A civil service employee will receive one salary step increase at 7, 12 and 15-years of continuous
employment with the City. Steps 8, 9 and 10 within a pay grade must be reserved for a longevity
pay increase.

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45 <u>6-16</u> Vehicle Mileage Allowance

1 2 3 4 5 6 7 The City provides a vehicle mileage allowance when the official duties of an employee require the use of his personal vehicle for business and such use is authorized by his department supervisor. Please contact the Finance Department for additional information.

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13	SECTION 7:	Code of Conduct and Disciplinary
14		Provisions
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16		

SECTION 7: CODE OF CONDUCT AND DISCIPLINARY PROVISIONS

7-1 Purpose

4 5 All employees of the City are members of a team working together for the purpose of serving our 6 community. Employees who fail to follow the necessary rules and regulations governing their 7 conduct are subject to disciplinary action, up to and including termination of employment. The 8 Code of Conduct rules are not intended to restrict the privileges of anyone, but are designed to 9 ensure the rights and safety of all employees and to provide working guidelines to encourage 10 acceptable and appropriate conduct.

11

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2 3

12 Employees are expected to abide by the City Code and Rules and Regulations (including the 13 Code of Conduct) and all established City and departmental policies, and may be disciplined for 14 violation of either City or departmental rules and regulations.

- 15 16 <u>7-2</u>
- 17

Policy

18 Discipline is corrective rather than punitive, and disciplinary actions are utilized as an element of 19 an overall program to emphasize appropriate standards of behavior and promote proper 20 employee conduct. When circumstances permit, department directors are encouraged to pursue 21 "progressive discipline" whereby employees receive increasing levels of discipline for each 22 successive instance of misconduct. This will provide an employee an opportunity to modify and 23 correct his behavior and/or work deficiencies.

24

25 The City's Code of Conduct provides that certain offenses are of such a serious nature that the 26 use of progressive discipline is generally not advisable, and that immediate dismissal from 27 employment is recommended upon the first violation of the offense. Dismissal from employment 28 is also a necessary personnel action when progressive discipline for offenses of a generally 29 related nature, or those of a chronic offender, have failed to achieve satisfactory improvement in 30 an employee's conduct and/or job performance.

31

32 Infractions of any kind are disruptions of the working environment. The Code of Conduct 33 establishes three groups of infractions and recommended disciplinary actions. In each group and 34 for each rule, the degree of discipline may vary in consideration of numerous factors which 35 include, but are not necessarily limited to, the following areas

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- the nature and seriousness of the misconduct
- o prior warnings and disciplinary actions for offenses for the same or generally similar nature
 - the length and quality of the employee's employment 0
- time interval between offenses 0
- 45 • effectiveness of prior disciplinary actions

1		
2		 demonstrated willingness to improve
3		
4		• overall work performance
5		
6	<u>7-4</u> Discip	olinary Actions
7		
8	-	should inform an employee promptly whenever his performance, work habits, or
9		duct fall below an acceptable level. It is the responsibility of the employee's
10	-	investigate and document the facts and evaluate the evidence of misconduct or
11		ncy. An investigation should include discussing the matter with the employee(s)
12		decision to administer a disciplinary action of any kind should be based upon a
13	review of rele	evant facts.
14	D' ' I'	
15	Disciplinary a	actions may include:
16 17	٨	Informal Counceling
17 18	A.	Informal Counseling
18 19		An employee may receive informal counseling, at the discretion of his supervisor,
20		to advise him of work deficiencies and/or misconduct.
20		to advise mill of work denerences and/or misconduct.
22		Appropriate notes or other records concerning the time and nature of an informal
23		counseling session should be maintained by the employee's supervisor. Informal
24		counseling is not subject to appeal.
25		
26	В.	Oral Warning
27		
28		The purpose of an oral warning is to advise an employee of a disciplinary problem
29		and to encourage improvement in performance, work habits, and/or behavior. An
30		oral warning shall be documented by a Report of Oral Warning form and shall be
31		used as the initial formal disciplinary action. Management shall inform the
32		employee of its expectation and how improvement is to be achieved. Management
33		shall also notify him of the consequences of further misconduct.
34 35		Appropriate potes or other records concerning the time and nature of the
33 36		Appropriate notes or other records concerning the time and nature of the oral warning shall be maintained by the employee's supervisor.
30 37		Imposition of an oral warning is not subject to appeal.
38		imposition of an oral warning is not subject to appeal.
39	C.	Written Reprimand
40	С.	·······
41		In order to document a written reprimand, an Employee Notice shall be issued,
42		specifically defining the nature of the infraction the City Code, Code of Conduct,
43		Rules and Regulations, City Policy and/or the appropriate departmental rule
44		which has been violated, and the reason(s) for the disciplinary action. The
45		Employee Notice should include a description of the infraction of misconduct and

refer to specific times, dates, locations, personnel involved, and any rule or rules violated.

Before an Employee Notice is initiated, the employee's immediate supervisor or other management employee shall obtain the approval of the department director. A copy of the Employee Notice shall remain in the employee's personnel file. Imposition of a written reprimand is not subject to appeal.

D. Suspension

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An employee may be suspended by management for reasons provided under the City Code, Code of Conduct, Rules and Regulations, City Policy and/or the appropriate departmental rules. Suspensions may be for a greater or lesser number of days than that which is recommend in the Code of Conduct. Suspensions for more than one (1) shift shall be issued on consecutive shifts. Suspensions for two (2) or more offenses shall be cumulative. Suspensions should be scheduled without undue delay. An employee on suspension shall not be eligible to work overtime during the payroll period in which the suspension is served unless such restriction is waived by the department director to meet unusual operational needs.

An employee shall be notified of his suspension by an Employee Notice which shall include the infraction, disciplinary action taken, the beginning and ending days of the suspension, and any applicable appeal rights. The Employee Notice implementing the disciplinary suspension shall be delivered to the Human Resources Department within one (1) work day following its issuance.

E. Dismissal

An employee may be dismissed for reasons provided under the City Code, Code of Conduct, Rules and Regulations of the Personnel System, City Policy and/or the appropriate departmental rules. An employee shall be notified of the City's intent to terminate his employment by an Employee Notice which shall include the infraction, disciplinary action taken, and any applicable appeal rights. The Employee Notice implementing the disciplinary suspension shall be delivered to the Human Resources Department within one (1) working day following its issuance.

A Civil Service Status employee has a right to have an informal pre-dismissal hearing before being dismissed. If an employee makes a written request within five (5) working days after his receipt of the Employee Notice, his department director, or designee, shall schedule a predismissal hearing to discuss the infraction and proposed dismissal. The hearing shall be informal. A Civil Service Status employee shall be entitled to receive written notice of the alleged infraction(s) under the City Code, Code of Conduct, Rules and Regulations of the Personnel System, City Policy and/or the appropriate departmental rule; and an explanation of the evidence

- to respond to the allegation(s). After completion of the informal hearing, the department shall notify the employee and the Human Resources Director in writing of the results of the informal hearing. The department director may rescind, modify or affirm the disciplinary action as a result of the hearing.
- 5
- 6 In recognition of the fact that employee disciplinary and work records are unique, and that each 7 infraction of misconduct may differ in the same regards from a similar infraction, the City retains 8 the right to treat each disciplinary occurrence on an individual basis.
- 9

Disciplinary actions resulting in suspension or dismissal shall be reviewed by the City Attorneyand the Human Resources Director prior to implementation.

12

The employee's signature is required on the Employee Notice to acknowledge receipt, and does not indicate his agreement with the provisions of the disciplinary action. If he refuses to sign, it should be so indicated by management on the Employee Notice in the area reserved for the employee's signature and witnessed by another employee.

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When imposing a disciplinary action, supervisors will generally not take into consideration prior infractions the nature of which are entirely unrelated to the current infraction and which occurred more than eighteen (18) months previously. However, where progressive discipline has been taken, and a decision to dismiss an employee is under consideration, it is appropriate to review his entire employment record with the City.

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An employee may be dismissed as a chronic offender of the City Code, Code of Conduct, Rules and Regulations, City Policy and/or the appropriate departmental rule when he has been issued three (3) disciplinary actions resulting in an Employee Notice within a two (2) year period that have been sustained.

29 <u>7-5</u> Code of Conduct 30

Every possible act of misconduct cannot be specifically identified in the Rules and Regulations. As a result, Code of Conduct offenses are to be interpreted broadly. Infractions set forth in the Rules & Regulations are illustrative only and are not intended to be all encompassing. If a specific instance of misconduct not identified by an established rule in the Code of Conduct has been charged, the department director may explain the infraction and take appropriate disciplinary action.

- For purposes of this Code of Conduct, infractions are typically associated in one of three general categories:
 - 1. Attendance Related Infractions
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 44 Attendance related offenses generally consist of absenteeism, tardiness,
 45 and all other activities resulting in time away from work

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1 2		2.	Performance Related Infractions
$\frac{2}{3}$		2.	Terrormance Related Infractions
4			Performance related infractions generally consist of neglect of work,
5			accidents, abusive behavior, dishonesty, and all other conduct affecting
6			work site productivity or job performance.
7 8		3.	Miscellaneous Infractions
9		5.	Wiscenancous infractions
10			All other infractions are grouped in a cotogory antitled "missellencous"
10			All other infractions are grouped in a category entitled "miscellaneous." Items in this category involve prohibited actions in the City Code, Code of
12			Conduct, Rules and Regulations, City Policy and/or the appropriate
13			departmental rule.
14			
15	Crowna of	Offenses	and Decommended Dissinlinew Action
16 17	Groups of	Offenses	and Recommended Disciplinary Action
18	Group I O	offenses an	nd Recommended Disciplinary Action
19	-		
20	First Viola	tion	Oral Warning (Documented on Form)
21 22	Second Vie	alation	Employee Notice and One (1) Work Day Suspension
22	Second Vid		Employee Notice and One (1) work Day Suspension
24	Third Viola	ation	
25	Fourth Via	lation	Dismissal
26 27	Fourth Vio		Dismissai
28	Performar	nce Relate	ed Misconduct
29		1	
30	1.		to work overtime, special hours or special shifts, after being scheduled or
31 32		assigned	according to overtime and standby duty policies.
33	2.	Operating	g, using, or possessing tools, equipment or machines to which the employee
34			een assigned, or performing other than assigned work.
35			
36	3.	Quitting	work, wasting time, loitering, or temporarily leaving assigned work area
37		during w	orking hours without permission.
38	4.	Discourte	esy to persons with whom the employee comes in contact while in the
39		performa	nce of his duties.
40	~	XX7 1 ·	1 . 1/1 1 . 1. 1 ./1 /
41 42	5.	•	up or changing clothes during working hours without specific permission of
42 43		the super	v1501.
44	6.	Productiv	vity or workmanship not up to required standards of performance.
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1		
2	7.	Mistakes due to carelessness.
3	0	
4 5	8.	Disregarding job duties by neglecting work during working hours.
6 7	9.	Violating a safety rule or safety practice.
8 9	10.	Reporting for work or working while unfit for duty, either mentally or physically.
10 11 12	11.	Engaging in horseplay, scuffling, wrestling, throwing things, malicious mischief, distracting the attention of others, catcalls, or similar types or disorderly conduct.
13 14 15	12.	Creating or contributing to unsafe and unsanitary conditions, poor personal hygiene or poor housekeeping in the work area.
16 17	13.	Conducting personal business during work period.
17 18 19 20	14.	Failure to properly wear a complete City uniform as provided by the employee's department, or to display proper City identification as required by departmental.
20 21 22	Atte	endance Related Misconduct
23 24	1.	Failure to properly report a late arrival at work to the supervisor or other designated departmental representative within the time required by departmental policy.
25 26 27	2.	Taking more than allowable time for meals or rest periods.
28 29 30	3.	Habitual failure to punch own time card. (Guide: three (3) times in any thirty (30) calendar day period, or six (6) times in any ninety (90) calendar day period)
31 32	4.	Chronic tardiness. (Guide: three (3) times in any thirty (30) calendar day period, or six (6) times in any ninety (90) calendar day period)
33 34 35 26	5.	Chronic absenteeism. (Guide: Three (3) times in any thirty(30) calendar day period, or six (6) times in any ninety (90) calendar day period)
36 37 38	6.	Absent without permission or leave (AWOL)
39 40	7.	Failure to file the required Request for Outside Employment Form.
40 41 42	8.	Abuse of annual leave or extended illness leave privileges.
42 43 44 45	9.	Failure to report immediately to the department director the loss of the employee's City identification card.

1 2	10.	Failure to maintain and keep the department notified of current address and telephone number.
3		
4	11.	Unauthorized posting or removal of any matter on City bulletin boards or City
5 6		property at any time.
7	12	Violation of a departmental rule within the Group I level for overall seriousness,
, 8 9	12.	nature and significance of the misconduct.
9 10 11	Group II (Offenses and Recommended Disciplinary Action
11	First Viola	tion Employee Notice and Two (2) Work Day Suspension
13		blation Dismissal
14 15	Work Rela	ated Misconduct
16		
17	1.	Provoking or instigating a fight, actively participating in a fight at any time on City
18		property, or deliberately hitting, shoving, striking, physically abusing or otherwise
19		assaulting or committing a battery upon another person while on duty.
20		
21	2.	Threatening, intimidating, coercing or interfering with fellow employees or the
22		public at any time, including the use of abusive, foul or obscene language.
23		
24	3.	Sleeping during working hours, except as provided in the Fire service.
25		
26	4.	Failure to comply with the requirements of the Code of Ethics.
27	_	
28	5.	Participating in gambling, lottery, or engaging in any other game of chance at any
29		time while on duty.
30	<i>.</i>	
31	6.	Making or publishing false, vicious or malicious statements concerning any
32		employee, supervisor, the City, or its operation.
33	_	~
34	7.	Carelessness which results in an injury, damage in excess of \$500.00 or loss of
35		materials, equipment, tools, property or creates a financial liability to the City.
36	-	
37	8.	Unauthorized distribution of written or printed matter of any description on City.
38		
39	9.	Failure to report to the Office of Law a request for information, or receipt of a form
40		an attorney, law firm, or court of law in connection with City business.
41		
42	10.	Unauthorized vending, soliciting, or collecting contributions for any purpose
43		whatsoever at-any time on City property.
44		
45	11.	Use or possession of another employee's tools or equipment without the employee's

	consent.				
	12. Refusal to give testimony in City related accident investigations or refusing to attend grievance/appeal hearings when subpoenaed or directed to attend.				
	13. Failure to report in a timely manner an accident or personal injury in which the employee was involved while on the job.				
	14. Unauthorized use of City vehicles, equipment or supplies.				
	15. Leaving the job site during regular working hours without permission				
	16. Leaving the assigned post at the end of the scheduled shift prior to being relieved by the supervisor or the relieving employee on the incoming shift in a continuous operation.				
	17. Abuses of annual leave or extended illness leave privileges.				
Miscellaneous Misconduct					
1. Unauthorized use of City telephones for personal long distance or toll calls to the City.					
	2. Violation of a departmental rule within the Group II level for overall seriousness, nature and significance of the infraction.				
Group	III Offenses and Recommended Disciplinary Action				
First V	iolationDismissal				
<u>Work</u>	Related Misconduct				
1.	Serious neglect in the performance of assigned duties.				
2.	Deliberately misusing, destroying, damaging, or causing to be damaged any City property or property of any employee.				
3.	Falsification of personnel or other City records including, but not limited to, employment applications, accident records, insurance records, leave records, work records, purchase orders, time sheets, or any other report, record or application.				
4.	Making false claims or misrepresentations in an attempt to obtain accident benefits, workers' compensation, unemployment compensation, health insurance payments, or other benefits, or failure to repay overpayments in a timely manner.				

- 5. Insubordination, including refusal to perform work assigned, or to comply with written or verbal instructions, directives or orders of the supervisory force.
 - 6. Use of abusive language or behavior directed toward a citizen, co-worker, supervisor or a member of management.
 - 7. Any violation of the City's "Substance Abuse Policy" or any other policy governing the use and possession of alcohol, drugs, or other controlled substances.
 - 8. Incompetence or inefficiency in the performance of assigned duties.
- 9. Receipt from any person of a fee, gift, or other valuable thing in the course of work when such fee, gift, or other valuable thing is given or accepted in the hope or expectation of receiving a favor or better treatment than is accorded other persons, or any violation of the Code of Ethics.
 - 10. Unauthorized possession or use of firearms, explosives or weapons on City property.
- 11. Concerted curtailment or restriction of production or interference with work in or about the City's work stations including, but not limited to, instigating, leading or participating in any walkout, sit-down, stand-in, slowdown, sick-out, refusal to return to work at the assigned time for the scheduled shift, or participation in a strike or any concerted activity against the City as defined in the Charter and Code of the City of Annapolis Chapter 3.32.100
 - 13. Participation in prohibited political activity.

- 14. Failure to obtain and maintain licenses, certifications or other qualifications required for an employee's job.
- 15. Use or attempted use of political influence or bribery to secure an advantage of any manner.
- 16. Driving a motor vehicle while on duty without the appropriate, valid license or to report the loss or suspension of a license when an employee is required to drive while on duty.

ATTENDANCE RELATED MISCONDUCT

- 1. Knowingly punching the time card of another employee, having one's time card punched by another employee, or unauthorized altering of a time card or related payroll records.
- 2. Being absent from duty for a period of three (3) consecutive working days without proper authorization.
- 45 3. Failure to return from an authorized leave of absence within three (3) working days from

scheduled date of return.

MISCELLANEOUS MISCONDUCT

- 1. Permitting another person to use your City identification card, or using another identification card, or altering a City identification card.
- 2. Removal from City locations without proper authorization any City property or property of any employee.
- 3. Immoral, unlawful, improper or indecent conduct, either on or off the job, which would tend to affect the employee's relationship to his job, his fellow workers, his reputation or goodwill in the community.
- 4. Conviction of a felony or a misdemeanor of any degree as defined by Maryland Statutes, or any violation of a City ordinance involving moral turpitude, while either on or off the job.
- 5. Chronic infractions of the Code of Conduct. (Guide: three (3) violations of any departmental or City rule or regulation in an 18 month period which results in an Employee Notice being issued)
 - 6. Violation of a departmental rule within the Group III level for overall seriousness, nature and significance of the infraction.

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6	SECTION 8:	Appeal Procedures
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SECTION 8: GRIEVANCE AND APPEAL PROCEDURES

3 <u>8-1</u> Policy

The City grievance and appeal procedures provide the opportunity for eligible employees to present a grievance or appeal certain disciplinary actions. Both supervisors and employees are encouraged to make every reasonable effort to resolve grievances and appeals on an informal basis.

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Only full-time classified employees may utilize the formal grievance and appeal procedure. For employees not covered by a collective bargaining agreement, appeals involving involuntary demotion, dismissal, suspension or intolerable work conditions may be appealed only by filing with the Civil Service Board within 5 working days of issuance of Employee Notice, in accordance with Section 3.16.150 of the City Code.

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16 Classified employees who are in classifications covered by a union collective bargaining 17 agreement may utilize either the grievance procedure set forth in the collective bargaining 18 agreement or the Civil Service Board, but not both.

20 <u>8-2</u> Grievance 21

A grievance is defined as a dispute concerning the application or interpretation of the terms of a union collective bargaining agreement; a claimed violation, misinterpretation or misapplication of the Rules and Regulations of the Personnel System, or other City actions affecting the terms and conditions of employment.

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27 8-3 Grievance Procedure

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Employees covered by a union collective bargaining agreement who choose to utilize the grievance procedure in the collective bargaining agreement shall be bound by the grievance procedure contained in the agreement. Alternatively, the employee may opt to file an appeal with the Civil Service Board if the grievance pertains to a matter within the Board's jurisdiction, as defined by Section 3.16.150 of the City Code. Once an employee has elected to pursue a specific appeal procedure, the employee is bound by the election and subsequently may not choose to follow a different procedure.

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7 8-4 Grievance and Appeal Records Retention and Disposition

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Upon receipt of a grievance by a Department Director, a copy of all City grievance forms and appeals shall be forwarded to the Human Resources Department within one (1) working day. A copy of the Department Director's answer to the grievance shall also be forwarded to the Human Resources Director within one (1) working day.

42 43

Records, notes, correspondence, decisions and actions shall be maintained in the HumanResources Department.

8-5 Civil Service Board

A. Structure

The Civil Service Board is composed of five (5) residents appointed by the Mayor and confirmed by the City Council. No person shall be appointed to the Board who holds any salaried office or employment in the City government nor shall any member be eligible for municipal employment while serving on the Board.

B. Duties

In accordance with Section 3.16.150 of the City Code, it shall be the duty of the Civil Service Board to hear and decide all appeals submitted by Civil Service employees. The Civil Service Board will meet within a reasonable period of time, not to exceed 45 days after the receipt of the appeal to hear the appeal, unless extended by the Civil Service board for good cause or unless mutually agreed upon by the Human Resources Director and the employee who has appealed. During the hearing, the employee and the department shall have the right to be heard publicly and be represented by an attorney. The employee and department shall have the right to be represented by counsel, to call and cross examine witnesses, and to present documentary evidence.

- Within forty-five (45) working days after the conclusion of the hearing, the Civil Service Board shall issue a written decision.
- The Civil Service Board shall adopt rules of procedure governing the conduct of hearings.

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