1	Title	
2	Ethics	Code – Financial Disclosure Updates – For the purpose of amending the City of
3	Annap	polis Ethics Code definition of "official"; including Housing Authority of the City
4	of An	napolis ("HACA") officials in the financial disclosure reporting requirements
5		able to City employees and appointed officials; removing obsolete language
6		ning the financial disclosure reporting of HACA officials; and amending the
7		nation required for the examination of a financial disclosure statement.
8	Body	•
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10		CITY COUNCIL OF THE
11		City of Annapolis
11		Curry of Manapour
12		A H A
13		Ordinance 37-18
14		
15		Introduced by: Mayor Buckley
16	DC	
17	Refer	
18	Rules	and City Government Committee
19		
20		
21	AN U	RDINANCE concerning
22		Ethics Code – Financial Disclosure Updates
23 24		Etines Code – Financial Disclosure Opdates
24 25	FOR	the purpose of amending the City of Annapolis Ethics Code definition of
26	TOK	"official"; including Housing Authority of the City of Annapolis ("HACA")
27		officials in the financial disclosure reporting requirements applicable to City
28		employees and appointed officials; removing obsolete language concerning the
29		financial disclosure reporting of HACA officials; and amending the information
30		required for the examination of a financial disclosure statement.
31		
32	BY	repealing and re-enacting with amendments the following portions of the Code of
33		the City of Annapolis, 2018 Edition
34		2.08.020
35		2.08.050
36		2.08.051
37		2.08.052
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39	SECT	ION I: BE IT ESTABLISHED AND ORDAINED BY THE ANNAPOLIS
40	CITY	COUNCIL that the Code of the City of Annapolis shall be amended to read as
41	follow	'S:
42		
43		E 2 – ADMINISTRATION
44	Chapt	ter 2.08 – PUBLIC ETHICS AND FINANCIAL DISCLOSURE
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46	Sectio	n 2.08.020 - Definitions.

- A. Definitions. The following words and phrases shall have the meanings ascribed tothem as follows:
 - 1. "Business entity" means a corporation, limited liability company, general or limited partnership, sole proprietorship, joint venture, unincorporated association or firm, institution, trust, foundation, or other organization, whether or not operated for profit. "Business entity" does not include a governmental entity.
 - 2. "Commission" means the City of Annapolis Ethics Commission as established in Section 2.08.030.
- 3. "Compensation" means any money or thing of value, regardless of form, received or to be received by any individual covered by this chapter from an employer for service rendered. For the purposes of Section 2.08.070 of this chapter, if lobbying is only a portion of a person's employment, "compensation" means a prorated amount based on the time devoted to lobbying compared to the time devoted to other employment duties.
- 18 4. "Doing business with" means:

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- a. Having or negotiating a contract that involves the commitment (either in a single or combination of transactions) of one thousand dollars or more of City controlled funds; or
 - b. Being regulated by or otherwise under the authority of the City; or
 - c. Being registered as a lobbyist in accordance with Section 2.08.070 of this chapter.
- 5. "Elected official" includes the Mayor and members of the City Council.
- 26 6. "Employee" means an individual who is employed by the City of Annapolis.
 27 "Employee" does not include an elected official.
- 28 7. "Financial interest" means:
- a. Ownership of any interest as the result of which the owner has received,
 within the past three years, or is presently receiving, or in the future is
 entitled to receive, more than one thousand dollars per year in
 compensation; or
- b. Ownership, or the ownership of securities of any kind representing or
 convertible into ownership, of more than three percent of a business entity
 by a City official or employee, or the spouse of an official or employee.
- 8. "Gift" means the transfer of anything of economic value regardless of the form
 without adequate and lawful consideration, whether or not it relates to the
 performance of official duties. However, "gift" does not include the solicitation,
 acceptance or receipt of any campaign contributions regulated in accordance
 with any law pertaining to the conduct of elections or the receipt of political
 campaign contributions.
- 9. "Interest" means any legal or equitable economic interest, whether or not subject to an encumbrance or a condition, which was owned or held, in whole or in part, jointly or severally, directly or indirectly. For purposes of Section 2.08.050 of this chapter, "interest" applies to any interests held at any time during the

calendar year for which a required statement is to be filed. "Interest" does not 1 2 include: An interest held in the capacity of a personal agent, representative 3 a. custodian, fiduciary, or trustee, unless the holder has an equitable interest 4 therein: 5 An interest in a time or demand deposit in a financial institution; 6 b. An interest in an insurance or endowment policy or annuity contract under 7 c. which an insurance company promises to pay a fixed number of dollars 8 either in a lump sum or periodically for life or some other specified period; 9 A common trust fund or a trust which forms part of a pension or profit 10 d. sharing plan which has more than twenty-five participants and which has 11 been determined by the Internal Revenue Service to be a qualified trust 12 under §§ 401 and 501 of the Internal Revenue Code of 1954; 13 An interest in a City pension plan, City deferred compensation plan or a 14 e. college savings plan under the Internal Revenue Code; or 15 An interest in any mutual fund or exchange-traded fund that is publicly 16 f. 17 traded on a national scale unless the mutual fund or exchange-traded fund is composed primarily of holdings of stock and interests in a specific sector or 18 area that is regulated by the City of Annapolis. 19 10. "Lobbyist" or "lobbying" means the person or act of communicating in the 20 presence of a City official or employee with the intent to influence any official 21 action of that official or employee; or the person or act of engaging in activities 22 having the express purpose of soliciting others to communicate with a City 23 official or employee with the intent to influence that official or employee; and 24 who expends (exclusive of personal travel and subsistence) in excess of two 25 26 hundred fifty dollars per calendar year on food, entertainment or gifts for any official or employee of the City. 27 11. "Official" means an elected official, an employee of the City directly or by 28 contract, or a person appointed to or employed by a City agency, board, 29 commission, or similar entity whether or not paid in whole or in part with City 30 Members of the Board of funds and whether or not compensated. 31 Commissioners of the Housing Authority of the City of Annapolis (HACA), the 32 Executive Director of HACA and the Deputy Director(s) of HACA are part of a 33 separate entity; however, in accordance with State law, and for purposes of the 34 City Ethics law, the individuals in these HACA positions are considered City 35 officials. 36 12. "Person" includes any natural person, or business entity. 37 13. "Qualified relative" means spouse, siblings, parents, children, and their spouses. 38 39 14. "Immediate family" means a spouse and dependent children, and anyone else living in an official's residence. 40 41 42 Section 2.08.050 - Financial disclosure for elected officials and candidates for elected 43 office. 44 45 This section applies to all elected officials and candidates for elected office: A. 46

B. Except as provided in subsection D. of this section, an elected official or a candidate 1 to be an elected official shall file the financial disclosure statement required under 2 this subsection: 3 4 On a form provided by the Commission; 5 1. Under oath or affirmation: and 2. 6 3. With the Commission. 7 8 9 C. Deadlines for filing statements. 10 1. An incumbent official shall file a financial disclosure statement annually no later 11 than January 31 of each year for the preceding calendar year. 12 An official who is appointed to fill a vacancy in an office for which a financial 2. 13 disclosure statement is required and who has not already filed a financial 14 disclosure statement shall file a statement for the preceding calendar year within 15 thirty days after appointment. 16 3. Officials leaving office. 17 18 An individual who, other than by reason of death, leaves an office for which 19 a. a statement is required shall file a statement within sixty days after leaving 20 the office. 21 The statement shall cover: 22 b. 23 (1) The calendar year immediately preceding the year in which the 24 individual left office, unless a statement covering that year has already 25 been filed by the individual; and 26 (2) The portion of the current calendar year during which the individual 27 held the office. 28 29 D. Candidates to be elected officials. 30 31 Except for an official who has filed a financial disclosure statement under 32 1. another provision of this section for the reporting period, a candidate to be an 33 elected official shall file a financial disclosure statement each year beginning 34 with the year in which the certificate of candidacy is filed through the year of 35 the election. 36 37 38 2. A candidate to be an elected official shall file a statement required under this 39 section: 40 In the year the certificate of candidacy is filed, no later than the filing of the 41 a. certificate of candidacy; 42 In the year of the election, on or before the earlier of April 30 or the last day 43 b. for the withdrawal of candidacy; and 44 In all other years for which a statement is required, on or before April 30. 45 c.

47 3. A candidate to be an elected official:

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2			a. May file the statement required under subsection D.2.a. of this section with
3			the City Clerk or Board of Election supervisors with the certificate of
4			candidacy or with the Commission prior to filing the certificate of
5			candidacy; and
6			b. Shall file the statements required under subsection D.2.b. and D.2.c. of this
7			section with the Commission.
8			section with the commission.
9		4.	If a candidate fails to file a statement required by this section after written notice
10		ч.	is provided by the City Clerk or Board of Election Supervisors at least twenty
11			days before the last day for the withdrawal of candidacy, the candidate is
12		_	deemed to have withdrawn the candidacy.
13		5.	The City Clerk or Board of Election Supervisors may not accept any certificate
14			of candidacy unless a statement required under this section has been filed in
15			proper form.
16		6.	Within thirty days of the receipt of a statement required under this section, the
17			City Clerk or Board of Election Supervisors shall forward the statement to the
18			Commission.
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20	E.	Puł	olic record.
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22		1.	The City Attorney's office shall maintain all financial disclosure statements filed
23			under this section.
24		2.	The City Attorney's office shall make financial disclosure statements available
24 25		2.	during normal office hours for examination by the public.
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26		э.	If an individual examines a financial disclosure statement, the City Attorney's
27			office shall:
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29			a. Redact any portion of a statement, filed after January 1, 2019, that includes
30			the home address of the person whose financial disclosure statement was
31			examined;
32			b. Record the name, employer, and home and office and addresses of the
33			individual reviewing the statement;
34			c. Record the name of the person whose financial disclosure statement was
35			examined; and
36			d. Notify the person whose financial disclosure statement was examined of the
37			information recorded in paragraph 3.b. above.
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39	F.	Ret	tention requirements. The City Attorney's office shall retain financial disclosure
40	-		tements for four years from the date of receipt.
41	G		ntents of statement.
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42 43		1.	Interacts in real property
43 44		1.	Interests in real property.
			A statement filed under this section shall include a schedule of all interests
45			a. A statement filed under this section shall include a schedule of all interests
46			in real property wherever located.
47			b. For each interest in real property, the schedule shall include:

 (2) The nature and extent of the interest held, including any conditions and encumbrances on the interest; (3) The date when, the manner in which, and the identity of the person from whom the interest was acquired; (4) The nature and amount of the consideration given in exchange for the interest or, if acquired other than by purchase, the fair market value of the interest at the time acquired; (5) If any interest was transferred, in whole or in part, at any time during the reporting period, a description of the interest transferred, the nature and amount of the consideration received for the interest, and the identity of the person to whom the interest was transferred; and (6) The identity of any other person with an interest in the property. (7) The identity of any other person with an interest in the property. (8) Interests in corporations and partnerships. (9) Interests in corporation, regardless of whether the corporation or partnership does business with the City. (1) The name and address of the principal office of the corporation, partnership, limited liability corporation; (2) The nature and amount of the interest held, including any conditions and encumbrances on the interest; (3) With respect to any interest transferred, in whole or in part, at any time during the reporting period, a description of the interest masferred; and amount of the consideration received for the interest, and is during the reporting period; (3) With respect to any interest transferred, in whole or in part, at any time during the reporting period; a description of the interest masferred; and amount of the consideration received for the interest, and is during the reporting period; (4) With respect to any interest transferred; and (5) The nature and the amount of the consideration given in exchange for the interest at the time acquired and (6) The ata when, the manner in which, and the identity of	1 2	(1) The nature of the property and the location by street address, mailing address, or legal description of the property;
 (3) The date when, the manner in which, and the identity of the person from whom the interest was acquired; (4) The nature and amount of the consideration given in exchange for the interest at the time acquired; description of the interest transferred, the nature and amount of the consideration received for the interest, and the identity of the person to whom the interest was transferred; and (6) The identity of any other person with an interest in the property. (7) Interest was transferred in whole or in part, at any time during the reporting period, a description of the interest, and the identity of the person to whom the interest was transferred; and (6) The identity of any other person with an interest in the property. (7) Interests in corporations and partnerships. (8) Interests in corporation, negardless of whether the corporation or partnership does business with the City. (9) For each interest reported under this paragraph, the schedule shall include: (1) The name and address of the principal office of the corporation, partnership, limited liability corporation; (2) The nature and amount of the interest held, including any conditions and encumbrances on the interest; (3) With respect to any interest transferred, in whole or in part, at any time during the reporting period, a description of the interest transferred; and (4) With respect to any interest acquired during the reporting period: (5) The nature and the manner in which, and the identity of the person from whom the interest was acquired; and (1) The date when, the manner in which, and the identity of the person from whom the interest at the time acquired. (1) The date when, the manner in which, and the identity of the person from the interest at the time acquired and on the interest and if interest at a distribution of the interest and if interest and and anount of the consideration given in exchange for the inter		• • • • • •
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 c. An individual may satisfy the requirement to report the amount of the interest held under item b.(2) of this paragraph by reporting, instead of a dollar amount: (1) For an equity interest in a corporation, the number of shares held and, unless the corporation's stock is publicly traded, the percentage of equity interest held; or 		
 40 c. An individual may satisfy the requirement to report the amount of the 41 interest held under item b.(2) of this paragraph by reporting, instead of a 42 dollar amount: 43 44 (1) For an equity interest in a corporation, the number of shares held and, unless 45 the corporation's stock is publicly traded, the percentage of equity interest 46 held; or 		of the interest at the time acquired.
 41 interest held under item b.(2) of this paragraph by reporting, instead of a 42 dollar amount: 43 44 (1) For an equity interest in a corporation, the number of shares held and, unless 45 the corporation's stock is publicly traded, the percentage of equity interest 46 held; or 		c An individual may satisfy the requirement to report the amount of the
 dollar amount: (1) For an equity interest in a corporation, the number of shares held and, unless the corporation's stock is publicly traded, the percentage of equity interest held; or 		
 43 44 (1) For an equity interest in a corporation, the number of shares held and, unless 45 the corporation's stock is publicly traded, the percentage of equity interest 46 held; or 		
 44 (1) For an equity interest in a corporation, the number of shares held and, unless 45 the corporation's stock is publicly traded, the percentage of equity interest 46 held; or 		donar amount.
45 the corporation's stock is publicly traded, the percentage of equity interest46 held; or		(1) For an equity interest in a corporation, the number of shares held and, unless
46 held; or		
() = == === = , ==== == == == == == == ==	47	(2) For an equity interest in a partnership, the percentage of equity interest held.

1	
2	3. Interests in business entities doing business with City.
3	
4	a. A statement filed under this section shall include a schedule of all interests
5	in any business entity that does business with the City, other than interests
6	reported under paragraph 2. of this subsection.
7	b. For each interest reported under this paragraph, the schedule shall include:
8	
9	(1) The name and address of the principal office of the business entity;
10	(2) The nature and amount of the interest held, including any conditions to and
11	encumbrances on the interest;
12	(3) With respect to any interest transferred, in whole or in part, at any time during
13	the reporting period, a description of the interest transferred, the nature and
14	amount of the consideration received in exchange for the interest, and, if
15	known, the identity of the person to whom the interest was transferred; and
16	(4) With respect to any interest acquired during the reporting period:
17	
18	(I) The date when, the manner in which, and the identity of the person from
19	whom the interest was acquired; and
20	(II) The nature and the amount of the consideration given in exchange for
21	the interest or, if acquired other than by purchase, the fair market value
22	of the interest at the time acquired.
23	
24	4. Gifts.
25	
26	a. A statement filed under this section shall include a schedule of each gift in
27	excess of twenty dollars in value or a series of gifts totaling one hundred
28	dollars or more received during the reporting period from or on behalf of,
29	directly or indirectly, any one person who does business with the City.
30	b. For each gift reported, the schedule shall include:
31	(1) A description of the nature and value of the rift, and
32	 (1) A description of the nature and value of the gift; and (2) The identity of the person from whom or on behalf of whom directly or
33	(2) The identity of the person from whom, or on behalf of whom, directly or indirectly, the cift was received
34	indirectly, the gift was received.
35	5. Employment with or interests in entities doing business with City.
36	5. Employment with or interests in entities doing business with City.
37	a. A statement filed under this section shall include a schedule of all offices,
38	a. A statement filed under this section shall include a schedule of all offices, directorships, and salaried employment by the individual or member of the
39 40	
40 41	immediate family of the individual held at any time during the reporting period with entities doing business with the City.
42 43	b. For each position reported under this paragraph, the schedule shall include:
43	(1) The name and address of the principal office of the business entity;
45	(1) The finite and address of the principal office of the business entry, (2) The title and nature of the office, directorship, or salaried employment held
46	and the date it commenced; and

1 2 3			(3) The name of each City agency with which the entity is involved as indicated by identifying one or more of the three categories of "doing business", as defined in Section 2.08.020 A.4. of this chapter.
4 5		6.	Indebtedness to entities doing business with City.
6 7 8 9 10			a. A statement filed under this section shall include a schedule of all liabilities, excluding retail credit accounts, to persons doing business with the City owed at any time during the reporting period:
10 11 12 13 14			 By the individual; or By a member of the immediate family of the individual if the individual was involved in the transaction giving rise to the liability.
15 16			b. For each liability reported under this paragraph, the schedule shall include:
17 18 19 20 21 22			 The identity of the person to whom the liability was owed and the date the liability was incurred; The amount of the liability owed as of the end of the reporting period; The terms of payment of the liability and the extent to which the principal amount of the liability was increased or reduced during the year; and The security given, if any, for the liability.
23 24 25 26 27		7. 8.	Employment with City. A statement filed under this section shall include a schedule of the immediate family members of the elected official or candidate for elected office in any capacity at any time during the reporting period. Sources of earned income.
28 29 30 31 32 33 34 35 36 37			 a. A statement filed under this section shall include a schedule of the name and address of each place of employment and of each business entity of which the individual or a member of the individual's immediate family was a sole or partial owner and from which the individual or member of the individual's immediate family received earned income, at any time during the reporting period. b. A minor child's employment or business ownership need not be disclosed if the agency that employs the individual does not regulate, exercise authority over, or contract with the place of employment or business entity of the
38 39 40 41 42		9.	minor child. A statement filed under this section may also include a schedule of additional interests or information that the individual making the statement wishes to disclose.
43 44 45 46	H.		r the purposes Section 2.08.050 G.1., 2., and 3. of this chapter, the following erests are considered to be the interests of the individual making the statement:

1 2 2		1.	An interest held by a member of the individual's immediate family, if the interest was, at any time during the reporting period, directly or indirectly controlled by the individual.
3 4		2.	An interest held by a business entity in which the individual held a thirty percent
5			or greater interest at any time during the reporting period.
6		3.	An interest held by a trust or an estate in which, at any time during the reporting
7			period:
8			L
9			a. The individual held a reversionary interest or was a beneficiary; or
10			b. If a revocable trust, the individual was a settlor.
11			b. If a revocable trust, the mervidual was a section.
12	I.	The	Commission shall review the financial disclosure statements submitted under
13	1.		section for compliance with the provisions of this section and shall notify an
14			vidual submitting the statement of any omissions or deficiencies.
	т		
15	J.		Commission may take appropriate enforcement action to ensure compliance
16		WItt	n this section.
17	a		
18	Sec	tion	2.08.051 - Financial disclosure for employees and appointed officials.
19			
20	A.	This	s section applies to the following employees and appointed officials:
21			
22		1.	The City Manager, City Attorney, all department directors and deputies, and all
23			members of the exempt service, and
24		2.	Members of the Board of Commissioners of the Housing Authority of the City
25			of Annapolis (HACA), the Executive Director of HACA and the Deputy
26			Director(s) of HACA, and
27		3.	Inspectors and investigators who enforce City Codes, City Procurement Officers
28			and their purchasing staff, and members of the following quasi-judicial boards
29			and commissions: the Board of Appeals, the Civil Service Board, the Building
30			Board of Appeals, the Historic Preservation Commission, the Planning
31			Commission, the Board of Supervisors of Elections, the Ethics Commission,
32			Port Wardens, and the Police and Fire Retirement Plan Commission, and
33		3/	All other City officials, employees, contract employees or temporary employees
		<u>54</u> .	over pay grade 15, F15 (Fire Department), and P15 (Police Department), or who
34 25			
35			have authority to award or recommend the award of contracts or grants, or who
36			provide advice or counsel to the City on matters pertaining to public policy or
37			acquisition . , and
38		4 <u>5</u> .	Members and employees of the quasi-judicial Alcoholic Beverage Control
39			Board are exempt from this section but shall file financial disclosure statements
40			with the State Ethics Commission in accordance with Maryland Public Ethics
41			Law.
42			
43	В.	Fina	ancial disclosure statements filed under this section shall be filed with the
44		Con	nmission under oath or affirmation.
45	C.	Em	ployees and appointed officials included in paragraph A. above shall file a
46		-	ncial disclosure statement annually no later than January 31 of each year for the

47 preceding calendar year. For new employees and appointed officials included in

 employees and appointed officials shall file a financial disclosure state than fifteen days after commencing employment or service purs 	atement, such
2 than fifteen days after commencing ampleximent or complex	
	suant to their
4 appointment or within fifteen days of the enactment of this ordinance.	
5 D. The City Attorney's office shall:	
	1.1. 1
7 1. Maintain all disclosure statements filed under this section as p	L
8 available for public inspection and copying as provided in Section	on 2.08.050 E.
9 of this chapter.	a maridad in
 Retain financial disclosure statements filed under this section a Section 2.08.050 F. of this chapter. 	is provided in
11 Section 2.08.050 F. of this chapter. 12	
13 E. Contents of statement.	
14	
15 1. Interests in real property.	
16	
17 a. A statement filed under this section shall include a schedule	of all interests
18 in real property located in the State of Maryland.	
b. For each interest in real property, the schedule shall include:	
20	
21 (1) The nature of the property and the location by street addres	ss and a
22 description of the property;	
23 (2) The nature and extent of the interest held, including any co	onditions and
24 encumbrances on the interest;	C
25 (3) The date when, the manner in which, and the identity of the	e person from
26 whom the interest was acquired;	
27 (4) The identity of any other person with an interest in the prop	perty.
28 20 2 Interacts in corporations and partnerships	
29 2. Interests in corporations and partnerships.30	
31 a. A statement filed under this section shall include a schedule	of all interests
32 in any corporation, partnership, limited liability partnersh	
33 liability corporation, regardless of whether the corporation	T .
34 does business with the City. Interests in mutual funds are not r	
35 b. For each interest reported under this paragraph, the schedule	
36 the name of the corporation, partnership, limited liability p	
37 limited liability corporation.	· · · · ·
38	
39 3. Gifts.	
40	
40	U
41 a. A statement filed under this section shall include a schedule	
 41 a. A statement filed under this section shall include a schedule 42 excess of twenty dollars in value or a series of gifts totaling 	-
 41 a. A statement filed under this section shall include a schedule 42 excess of twenty dollars in value or a series of gifts totaling 43 dollars or more received during the reporting period from or 	r on behalf of,
 a. A statement filed under this section shall include a schedule excess of twenty dollars in value or a series of gifts totaling dollars or more received during the reporting period from or directly or indirectly, any one person who does business with 	r on behalf of,
 41 a. A statement filed under this section shall include a schedule 42 excess of twenty dollars in value or a series of gifts totaling 43 dollars or more received during the reporting period from or 	r on behalf of,

1		(2) The identity of the person from whom, or on behalf of whom, directly
2		or indirectly, the gift was received.
3		
4 5	4.	Employment with or interests in entities doing business with the City.
6 7 8 9 10		a. (1) A statement filed under this section shall include a schedule of all offices, directorships, and salaried employment by the individual or member of the immediate family of the individual held at any time during the reporting period with entities doing business with the City; and
11 12 13 14		 (2) For a statement filed on or after January 1, 2019, if the individual's spouse is a regulated lobbyist, shall include a schedule of all entities that engaged the spouse for lobbying purposes.
15 16		b. For each position reported under this paragraph, the schedule shall include:
17 18 19 20 21 22 23		 The name and address of the principal office of the business entity; The title and nature of the office, directorship, or salaried employment held and the date it commenced; and The name of each City agency with which the entity is involved as indicated by identifying one or more of the three categories of "doing business", as defined in Section 2.08.020 A.4. of this chapter.
24	5.	Indebtedness to entities doing business with City.
25 26 27 28 29		a. A statement filed under this section shall include a schedule of all liabilities, excluding retail credit accounts, to persons doing business with the City owed at any time during the reporting period:
30 31 32 33		 By the individual; or By a member of the immediate family of the individual if the individual was involved in the transaction giving rise to the liability.
34 35		b. For each liability reported under this paragraph, the schedule shall include:
36 37		(1) The identity of the person to whom the liability was owed and the date the liability was incurred;
38		(2) The amount of the liability owed as of the end of the reporting period;
39		(3) The terms of payment of the liability and the extent to which the
40		principal amount of the liability was increased or reduced during the
41		year; and
42		(4) The security given, if any, for the liability.
43	_	
44	6.	Employment with City. A statement filed under this section shall include a
45		schedule of the immediate family members of the individual employed by the
46		City in any capacity at any time during the reporting period.
47		

			r age 12
1		7.	Sources of earned income.
2			
3			a. (1) A statement filed under this section shall include a schedule of the
4			name and address of each place of employment and of each business
5			entity of which the individual or a member of the individual's
6			immediate family was a sole or partial owner and from which the
7			individual or member of the individual's immediate family received
8			earned income, at any time during the reporting period; and
9			(2) For a statement filed on or after January 1, 2019, if the individual's
9 10			
			spouse is a regulated lobbyist, shall include a schedule of all entities
11			that engaged the spouse for lobbying purposes.
12			
13			b. A minor child's employment or business ownership need not be disclosed if
14			the agency that employs the individual does not regulate, exercise authority
15			over, or contract with the place of employment or business entity of the
16			minor child.
17		0	A statement filed and the statement of a lade a schedule of a different
18		8.	A statement filed under this section may also include a schedule of additional
19			interests or information that the individual making the statement wishes to
20			disclose.
21	г	г	
22	F.		the purposes Section 2.08.051 E.1. and 2. of this chapter, the following interests
23		are	considered to be the interests of the individual making the statement:
24		1	
25		1.	An interest held by a member of the individual's immediate family, if the interest
26			was, at any time during the reporting period, directly or indirectly controlled by
27		-	the individual.
28		2.	An interest held by a business entity in which the individual held a thirty percent
29			or greater interest at any time during the reporting period.
30		3.	An interest held by a trust or an estate in which, at any time during the reporting
31			period:
32			
33			a. The individual held a reversionary interest or was a beneficiary; or
34			b. If a revocable trust, the individual was a settlor.
35	0	T 1	
36	G.		e Commission shall review the financial disclosure statements submitted under
37			s section for compliance with the provisions of this section and shall notify an
38			ividual submitting the statement of any omissions or deficiencies.
39	H.		e Commission may take appropriate enforcement action to ensure compliance
40		wit	h this section.
41	~		
42	Sec		2.08.052 - Financial disclosure for the Housing Authority of the City of
43		An	napolis.
44		. .	
45			mbers of the Board of Commissioners of the Housing Authority of the City of
46		-	lis (HACA), the Executive Director of HACA and the Deputy Director(s) of
47	HA	CA	shall file within fifteen days of their appointment if they have not already filed a

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1	financial disclosure statement and annually, not later than January 31st of each calendar
2	year during which they are subject to the prohibitions set forth in the Housing and
3	Community Development Article, Section 13-105(a) of the Annotated Code of Maryland,
4	or other applicable portions of state law, a statement of financial interests with the Ethics
5	Commission of the City. The form of the statement of financial interests required by this
6	section shall be prepared and approved by the Ethics Commission. The contents of the
7	statement shall be as provided in Section 2.08.051.E. of this chapter.
8	
9	
10	SECTION II: AND BE IT FURTHER ESTABLISHED AND ORDAINED BY
11	THE ANNAPOLIS CITY COUNCIL that this ordinance shall take effect from the date
12	of its passage.
13	
14	
15	EXPLANATION
16	<u>Underlining</u> indicates matter added to existing law.
17	Strikethrough indicates matter stricken from existing law.
18	Double Underlining indicates amendments
19	